

Probing the reality of quantum states

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Outline

- The operational view (of physical theories)
- Physics or metaphysics?
 1. Quantum mechanics (QM) and hidden variable theories (HVT)
 2. Epistemic vs. ontic
 3. Previous work
- The main theorem
- The experiment and its interpretation
- Questions for the future

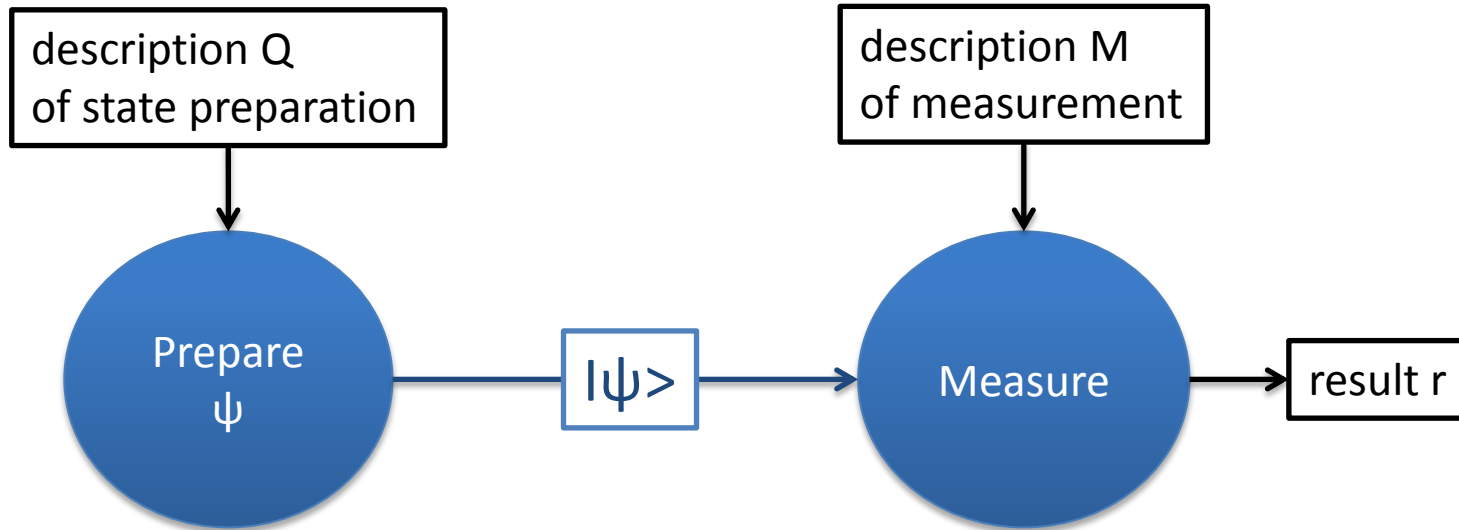
The operational view

- The primitives are 3 procedures: **preparation, transformation and measurement**.
- Must be specified in “classical language”.
- The main problem of any theory is to calculate the transition probabilities: $P(r|M,T,Q)$
- M, T, Q are measurement, transformation and preparations and r is an outcome or effect.
- Quantum theory provides a recipe for calculating these probabilities. Are there any other?

States and effects

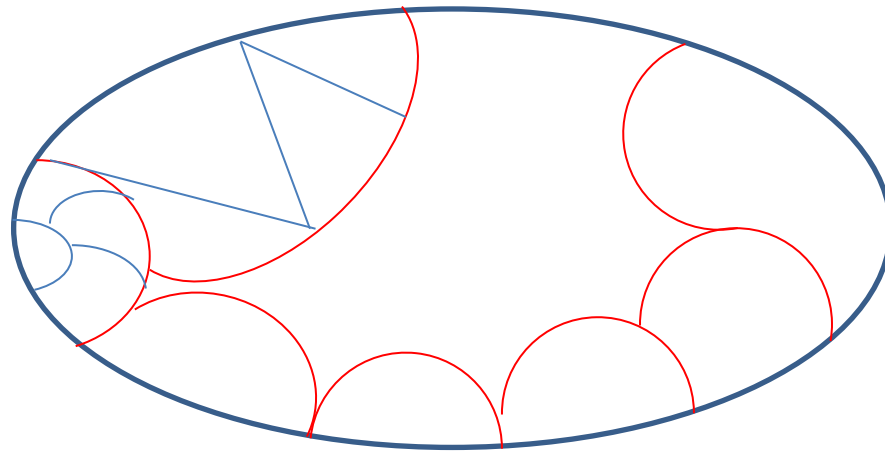
- Several preparations may give rise to the same distribution of outputs/results for any measurement (and transformation).
- They are considered equivalent.
- A state is an equivalence class of preparations. A theory partitions the set of preparations into disjoint subsets: the states.

Quantum Theory



$$P(r|M, \psi) = \text{Born Rule}$$

- The quantum state parameterizes an equivalence of preparation.
- So does any other theory of physical processes.
- Example: thermodynamic state vs. microstate.



Operational definition of reality

- We can now define the nature of states in one theory with respect to another.
- *Quantum states are real in the more complete “hidden variable” model if its states are completely contained in a quantum state.*
- This implies if two preparations can be distinguished by QM then it is also true in the HVT.

- ...at the 1984 Santa Fe Workshop...more than one was heard to say “The experimental evidence now forces us to believe that atoms are not real.”

E. T. Jaynes, *Clearing up mysteries*

- Let us get real.
- There is set Λ of *ontic states*.
- They are the “real” states of individual system.
- Corresponding to each preparation Q there is a prob. density

$$P(\lambda|Q), \lambda \in \Lambda$$

- There is prob. density for the outcomes r of measurement M in the ontic states: $P(r|M, \lambda)$

The touchstone

- QM is the only theory of microsystems (and larger) that we have. So we can test.

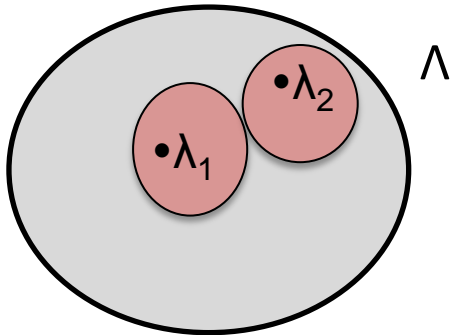
$$\int_{\Lambda} P(r|M, \lambda)P(\lambda|Q)d\lambda = P(r|M, \psi_Q)$$

Or the discrete version

$$\sum P(r|M, \lambda)P(\lambda|Q) = P(r|M, \psi_Q)$$

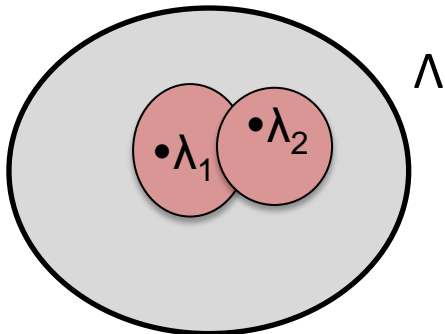
ψ -ontic vs. ψ -epistemic

- ψ -ontic (real!)



$$\forall Q_1, Q_2 \quad P(\lambda|Q_1)P(\lambda|Q_2) = 0 \text{ for } \psi_{Q_1} \neq \psi_{Q_2}$$

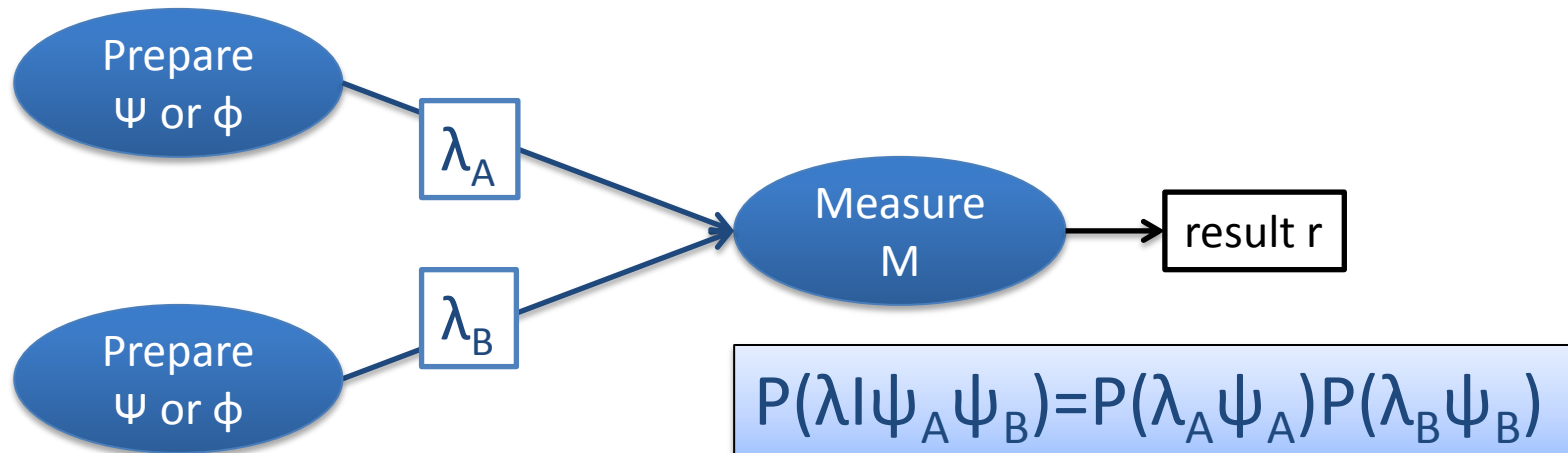
- ψ -epistemic



$$\exists Q_1, Q_2 \quad P(\lambda|Q_1)P(\lambda|Q_2) > 0 \text{ for } \psi_{Q_1} \neq \psi_{Q_2}$$

Ψ -Epistemic Models : Prior Work

- Einstein, Bell, Kochen and Specker, Spekkens....
- In any finite dimension d , there exist non trivial ψ -epistemic models (LBJR12)
- Assuming preparation independence, Epistemic models are incompatible with quantum mechanics (PBR12)



Continuous epistemic models (Informal)

- Continuity Assumption:

For fixed λ , $P(\lambda|\psi)$ depends continuously on ψ

(except perhaps at some isolated points)

- Not true for ψ -ontic models
 - Natural for ψ -epistemic models
-
- Continuous ψ -epistemic models are incompatible with quantum theory

Continuous epistemic models

CONTINUITY ASSUMPTION

- Fix ψ
- For all Φ such that $|\langle \Phi | \psi \rangle|^2 \geq 1 - \delta$

There exists λ such that $P(\lambda \text{ Prepare } \psi) > 0$ and $P(\lambda \text{ Prepare } \Phi) > 0$

NO GO THEOREM

- Fix dimension d
- Continuity assumption incompatible with quantum mechanics if $\delta \geq 1/(d-1)$

Continuity Assumption:

- Fix ψ
- For all Φ such that $|\langle \Phi | \psi \rangle| \geq 1 - \delta$

There exists λ such that $P(\lambda | \text{Prepare } \Phi) > 0$

NO GO THEOREM

- Fix dimension d
- Continuity assumption incompatible with quantum mechanics if $\delta \geq 1/(d-1)$

PROOF:

- Consider quantum states $\Psi_k = \frac{\sum_{j \neq k} |j\rangle}{\sqrt{d-1}}$

- all Ψ_k at distance $1/(d-1)$ from each other
- Measure in Computational Basis:

$$P(k | \Psi_k) = 0 \text{ for all } k$$

- Continuous Epistemic Models:
 - By continuity there exists λ such that
 - $P(\lambda | \Psi_k) > 0$ for all k
 - Definition: $\omega(\lambda) = \min_k P(\lambda | \Psi_k)$

→ Contradiction:

$$0 = \sum_k P(k | \Psi_k) = \sum_k \sum_\lambda P(k | \lambda) P(\lambda | \Psi_k) \geq \sum_\lambda \sum_k P(k | \lambda) \omega(\lambda) = \sum_\lambda \omega(\lambda) > 0$$

Overcoming the dimension bound

From single systems to composite. Ontic state is a function of (λ_1, λ_2)

- **Weak separability:** If $P(\lambda_1|\psi_{Q_1})P(\lambda_2|\psi_{Q_2}) > 0$ then

$P(\lambda_1, \lambda_2|\psi_{Q_1} \otimes \psi_{Q_2}) > 0$ for independently prepared systems.

No-go theorem: there is no continuous epistemic model that is consistent with quantum theory.

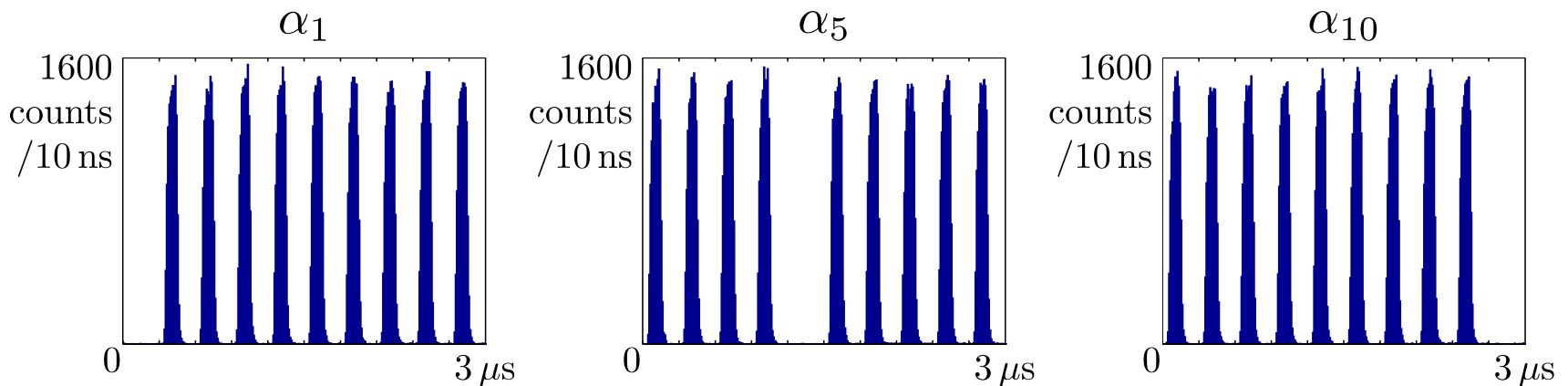
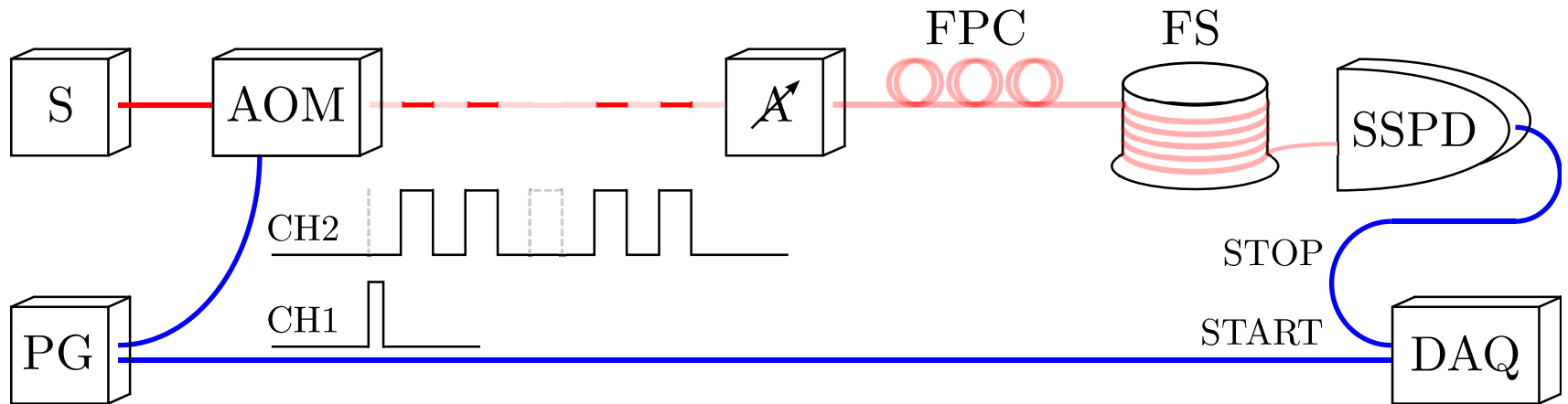
The alternatives

1. There are no epistemic HVT.
2. Quantum theory is not quite correct.
3. One of our assumptions must be discarded.

Experimental Test of Epistemic Models

Aim: produce $\Psi_k = \sum_{j \neq k} |j\rangle$

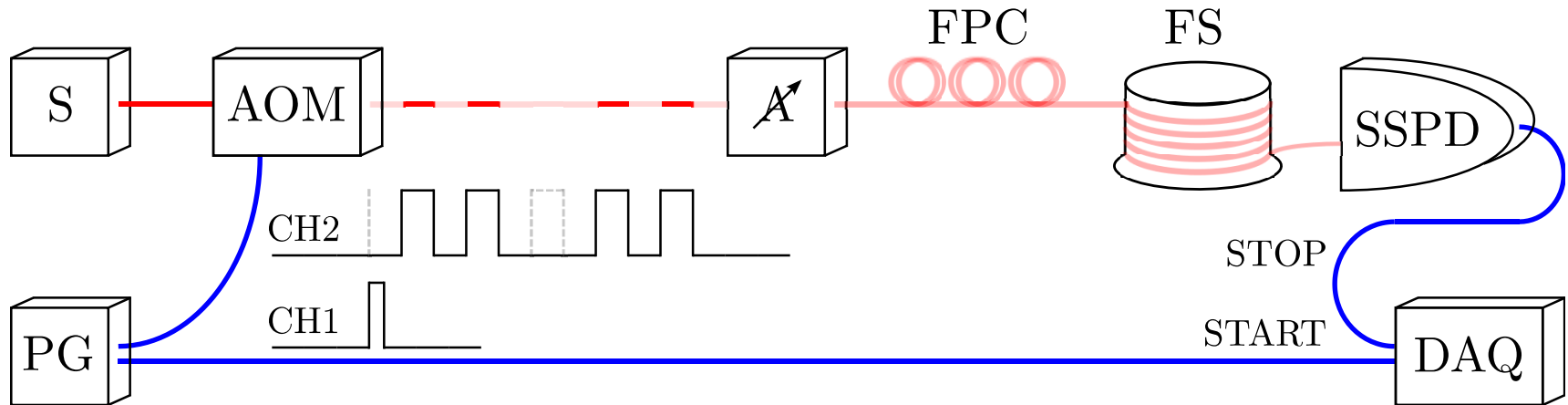
Method: Attenuated coherent states in multiple time bins



Experimental Test of Epistemic Models

Aim: produce $\Psi_k = N \sum_{j \neq k} |j\rangle$

Method: Attenuated coherent states in multiple time bins



Technical specifications:

- S: 1550nm cw laser with coherence time 160 μ s
- AOM: extinction ratio: 50dB CW & 40dB pulsed. 25ns rise/fall time.
- Pulses: 100ns long separated by 200ns
- # pulses: 3 to 80 (total pulse train duration = 0.9 μ s to 24 μ s)
- Mean photon number in pulse train: $\langle n \rangle = 0.2$
- FS=5km fiber spool
- SSPD: 4% efficiency; 3Hz dark count rate

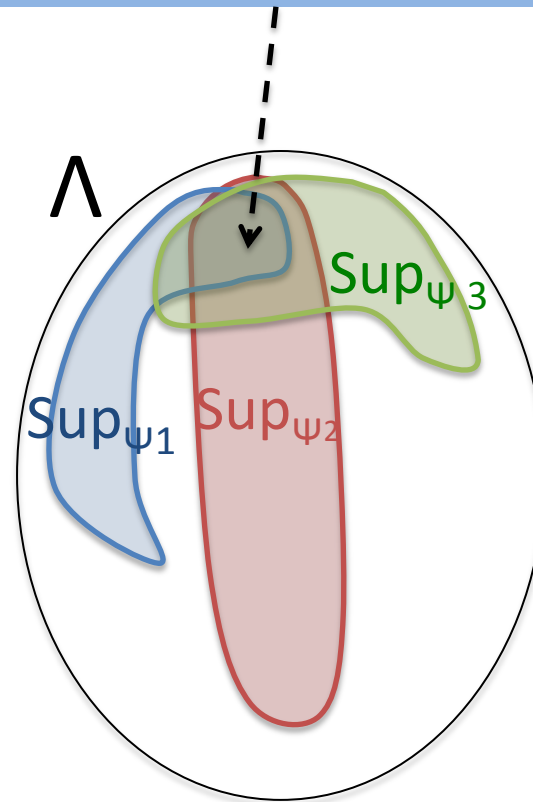
!!!
Interpretation:
Detection loophole
!!!

Interpretation: Detection Loophole

Need « Fair Sampling Assumption »

- Recall: key of no go theorem was existence of common epistemic state λ

If this intersection is non empty, then incompatibility with quantum mechanics



Interpretation: Detection Loophole

- Definitions:

- $\omega_{\text{Clk}}(\lambda) = \min_k P(\lambda | \Psi_k \& \text{Clk})$
- Continuous Epistemic models with detection efficiency:

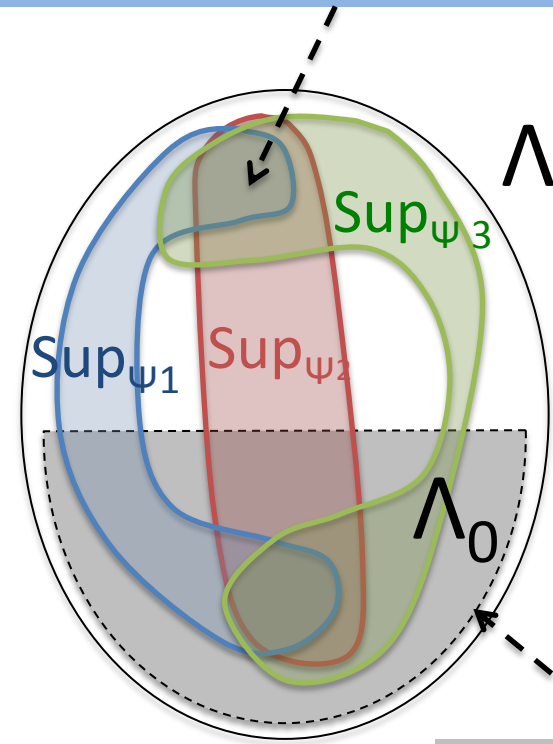
$\omega_{\text{Clk}}(\lambda)$ not identically 0

- Mathematical identity:

$$\begin{aligned} \epsilon_{\text{exp}} &= \sum_k P(k | \Psi_k \& \text{Clk}) \\ &\geq \sum_{\lambda} \omega_{\text{Clk}}(\lambda) \end{aligned}$$

The experiment puts an upper bound on $\sum_{\lambda} \omega_{\text{Clk}}(\lambda) \leq 10^{-3}$

If this intersection is non empty, then incompatibility with quantum mechanics



Epistemic states which only predict No-Clicks

- The main issues are: existence of vacuum and two or more photon states.
- Use a relativized metric and estimate the low probabilities of higher Fock states.
- Use photon number superselection rule.
- Detection efficiency and dark counts.
- Condition everything on the “click” event.
- Use a gedanken alternative.
- Fluctuations in the input coherent state.
- Continuity takes care of this!

The third alternative: how “natural” are our assumptions?

- **Continuity:** discontinuous models lack aesthetic appeal!?
- We can replace continuity with measurability.
 $P(\lambda|\psi_Q)$ has support whose probability > 0 (in the quantum state space) with respect to a continuous measure (e.g. Haar measure)

Without this the effect of “epistimicity” will be lost in any system with a bit of continuous noise.

Some questions for the future

- General HVT with no-signalling constraints.
- Security analysis (of protocols)
- Improved experiments (closing the loopholes, single photons, entangled pairs)
- Epistemic HVTs with some locality assumptions in the presence of noise.
- Power of superbeings with access and control over hidden variable states.
- Are we resigned to the fact hidden variables will stay hidden from us?