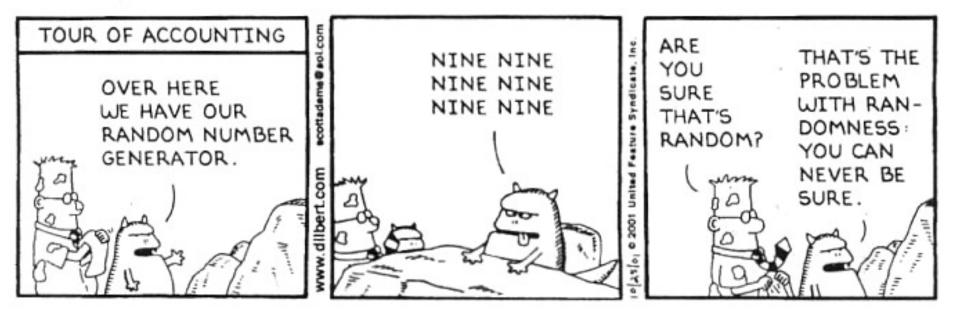
# **Certifiable Quantum Dice** (or, device-independent randomness generation)

# Thomas Vidick, MIT

Based on joint work with Umesh V. Vazirani, U.C. Berkeley

arXiv:1111.6054

#### DILBERT By Scott Adams



## Certifying randomness



Given a set of dice, how do you certify them?

Sample and check statistics.

What if the dice have memory? Or if they are  $2^{10000}$ -sided?



#### →011011010000011...

## Why certify randomness

• RSA, BB'84,..., crucially rely on *private* randomness

CNET > News > InSecurity Complex

# Researchers find flaw in key generation with popular cryptography



by Elinor Mills | February 14, 2012 1:42 PM PST

Sellow

Small percentage of public keys in sample found online were not randomly generated as they should be, paper says.

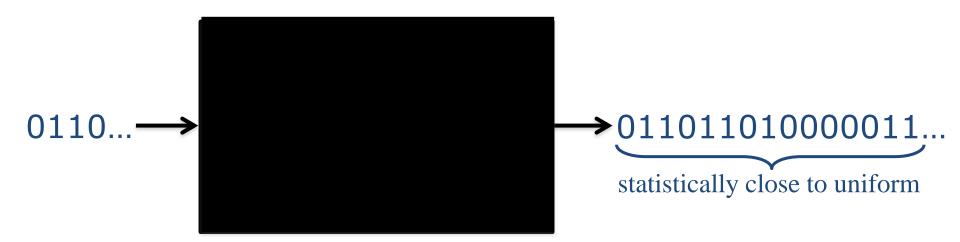
A group of researchers has uncovered a flaw in the way public keys are generated using the RSA algorithm for encrypting sensitive online communications and transactions.

They found that a small fraction of public keys--27,000 out of a sample of about 7 million--had not been randomly generated as they should be. This means it would be possible for someone to figure out the secret prime numbers which were used to create the public key, according to The New York Times, which reported on the research today.



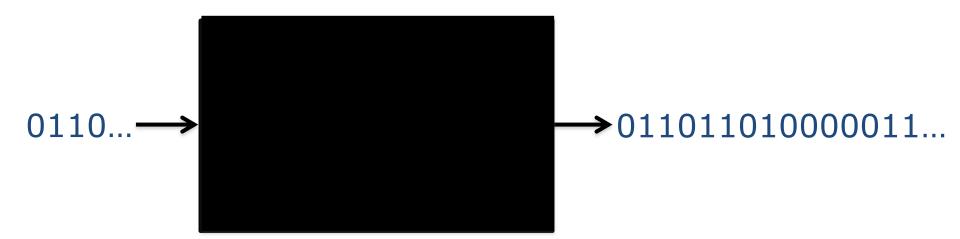
#### $\rightarrow$ Crucial that random bits are unbiased and trusted

## Goal: a *certifiable* source of randomness



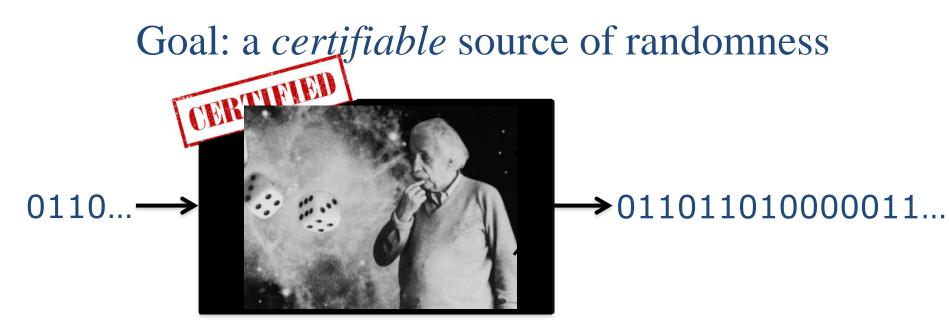
- 1. You provide specifications for the inner workings of the device.
- 2. No guarantee that the specifications were followed.
- 3. You use the box only once.
- 4. Provide test for output's randomness:
  - ✓ if the box was manufactured according to specification, the output must pass the test with very high probability.
  - $\checkmark$  if any box passes the test, its output is close to uniformly random.

## Goal: a *certifiable* source of randomness



#### Two inevitable assumptions:

- 1. Test requires use of (a small amount of) initial randomness
- 2. Need *physical* assumption on device
  - Device could be pre-programmed to choose next output bit to deterministically maximize expected success



Physical assumption:

The device is made of two non-communicating parts

- Randomness certification based on Bell inequality violation
- First suggested by Colbeck (Ph.D. thesis '09)
- [PAM+,Nature'10] gave rigorous analysis (+experimental results!)  $\rightarrow$  Protocol uses  $\sqrt{n}$  random bits, generates *n* near-uniform bits
- We give more efficient protocol
  + randomness certified against quantum side information

A randomness expansion protocol

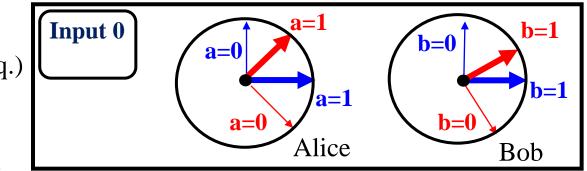
n = target #random bits  $\epsilon =$  security parameter





- Inputs divided into blocks of  $O(\log n + \log(1/\epsilon))$  identical inputs
- [dummy blocks]: Most blocks use input (0,0)
- [check blocks]:  $polylog(n/\epsilon)$  blocks use randomly chosen inputs
- Repeat  $poly(n/\epsilon)$  times
- Test: (variant of chained ineq.)
  - dummy blocks:

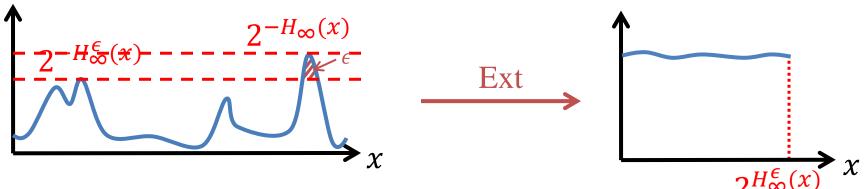
*all* outputs satisfy  $a_i = b_i$ 



- check blocks: correlations are within 5% of predictions of QM

## An aside: measuring randomness

- Goal: generate bits  $\epsilon$ -close to perfectly uniform
- Min-entropy  $H_{\infty}(X) = -\log(\Pr(most \, likely \, event))$



- *Smooth* min-entropy  $H^{\epsilon}_{\infty}(X)$ 
  - $\rightarrow$  Number of bits of  $\epsilon$ -near-uniform randomness that can be extracted
- Quantum conditional min-entropy [R'05]
  - $H_{\infty}(X|E) = -\log P_{guess}(X|E)$  [KRS'09] (X=classical, E=quantum)
  - $H^{\epsilon}_{\infty}(X|E)$ : max. nb. of  $\epsilon$ -near-uniform (to any adversary holding E) bits that can be extracted from X

#### Results

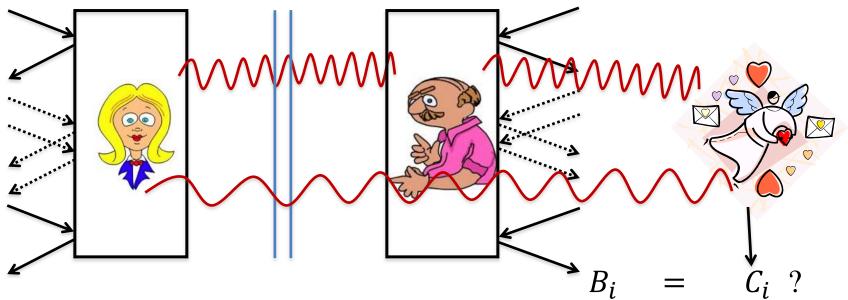
#### The certification theorem: Suppose that

- 1. The initial randomness is perfectly uniform
- 2. The devices did not communicate throughout
- 3. The experimenter's test passes
- 4. Quantum mechanics is correct

Then:  $H_{\infty}^{\epsilon}(B|E) \ge n$  (for any quantum *E*)

- Recall parameters: m = poly(n/ε) rounds of interaction, polylog(n, 1/ε) bits of randomness to select inputs
   → Exponential expansion for ε = 1/poly(n)
- [FGS'12,PM'12] also obtain exponential expansion, based on [PAM+10]
  (Use *two pairs* of devices, *assume no entanglement between the pairs*)
- Lower bound on  $H^{\epsilon}_{\infty}(B|E)$  implies protocol is composable

#### Quantum adversaries



• Suppose Bob's outputs are random, but...

... Eve has a measurement on her system that produces identical outcomes!

- Ex: ABE share *m* copies of  $|\Psi_{GHZ}\rangle = \frac{1}{\sqrt{2}}(|000\rangle + |111\rangle)$ Most of Bob's inputs are "0": Eve can bet on his measurement being  $B_0$  $\rightarrow$  B,E get same outcome whenever  $Y_i = 0$
- Catch: trace out Eve  $\Rightarrow$  A,B in separable state!
- Monogamy: high correlation b/w B,E  $\Rightarrow$  no entanglement b/w A,B

## Proof strategy

The certification theorem: Suppose that

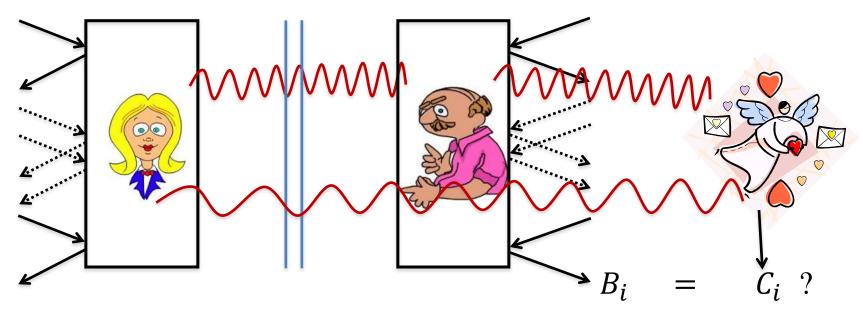
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Suppose (1),(2),(3),(4) hold, but  $H_{\infty}^{\epsilon}(B_1 \cdots B_m | E) \ll n$ 

- 1. Easy case:  $\exists i, H_{\infty}^{\epsilon}(B_i|E) \ll n/m \ll 1$ 
  - Derive contradiction with no-signaling condition in *i*-th block
- 2. General case:  $H^{\epsilon}_{\infty}(B|E) \ll n$ 
  - Exploit assumption using "quantum reconstruction paradigm"
  - Enables reduction to easy case: identify "good *i*" such that Eve can predict  $B_i$

Easy case:  $\exists i \in [m], p_{guess}(B_i|E) \ge 0.99$ 



- Can always measure Eve first → her prediction acts as "anchor" for B<sub>i</sub> Most of the time, block *i* is a dummy block: Y<sub>i</sub> = 0.
   → on input Y<sub>i</sub> = 0, Bob is almost *deterministic*
- Small chance that block *i* is a check block: Can Alice, Bob satisfy CHSH constraints if Bob's output on input 0 is fixed?
- Determinism incompatible with Bell inequality violation [PAM+10] gave quantitative argument for general Bell inequalities
   → We give direct intuitive argument based on "guessing game"

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General case:  $H^{\epsilon}_{\infty}(B|E) \ll n$ 



• Idea 0:  $p_{guess}(B|E) = 2^{-H_{\infty}(B|E)} \gg 2^{-n}$ 

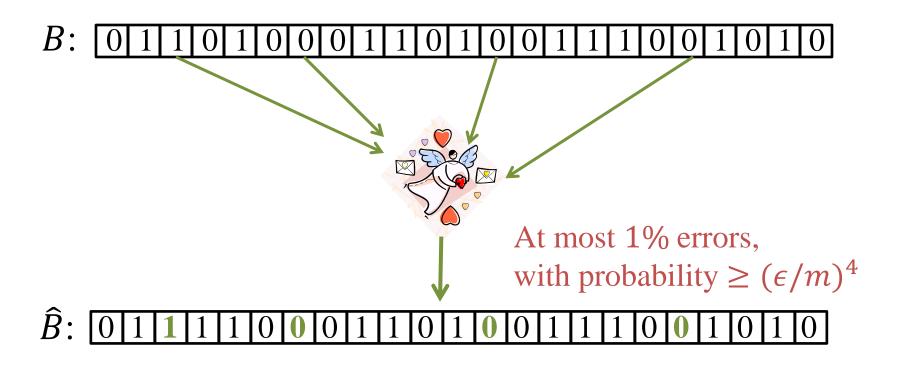
 $\rightarrow$  Eve can guess *complete* string B, but with *very low success* 

- Idea 1: use *smoothed* min-entropy H<sup>ε</sup><sub>∞</sub>(B|E) ≪ n
  Operational interpretation: Eve can break any *n*-bit extractor on B, with advantage ε = poly<sup>-1</sup>(n) ≫ 2<sup>-n</sup>
- Idea 2: deduce existence of "improved" Eve:
  Eve can guess B̂ ≈ B with succ. ≈ ε (some caveats)
  Based on "quantum reconstruction paradigm"
- Boosted success from  $2^{-n}$  to  $\epsilon$ !

 $\rightarrow$  Reduce to easy case: identify "good" block *i* such that Eve can predict  $B_i$  (need to condition on event of probability  $\approx \epsilon$ , instead of  $\approx 2^{-n}$ )

## A "quantum reconstruction paradigm"

Lemma [DVPR'11,VV'12]: Assume  $B \in \{0,1\}^m$  such that  $H^{\epsilon}_{\infty}(B|E) \ll n$ . Then there exists  $O(n \log(1/\epsilon))$  indices  $A \subseteq [m]$ such that, given  $B_A$ , Eve can predict  $\hat{B}$  such that  $d(\hat{B}, B) \leq 0.01$ , with success  $O(poly(\epsilon/m))$ .



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- Introduced in [Tre'01] to analyze *classical* extractors
- [DV'11,DVPR'12] Adaptation to quantum setting challenging: reconstruction requires repeated measurement of E
- [KT06]: can assume Eve applies specific measurement (PGM)
  → simultaneously refines all required measurements

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# Questions

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# Questions

- Applications? *Implementations*?
  - Can the protocol be made robust to noise?To imperfections in the initial randomness?
  - Improve efficiency



- What is the maximum stretch?
  - Doubly exponential expansion?
  - Unbounded expansion?
- Other models/assumptions
  - "Free will amplification" [Colbeck-Renner'11]
  - Certified randomness generation under other assumptions

#### **God does not play dice with the Universe.** Albert Einstein

#### **Stop telling God what to do with his dice.** Niels Bohr