

Fundamental rate-loss tradeoff for optical quantum key distribution

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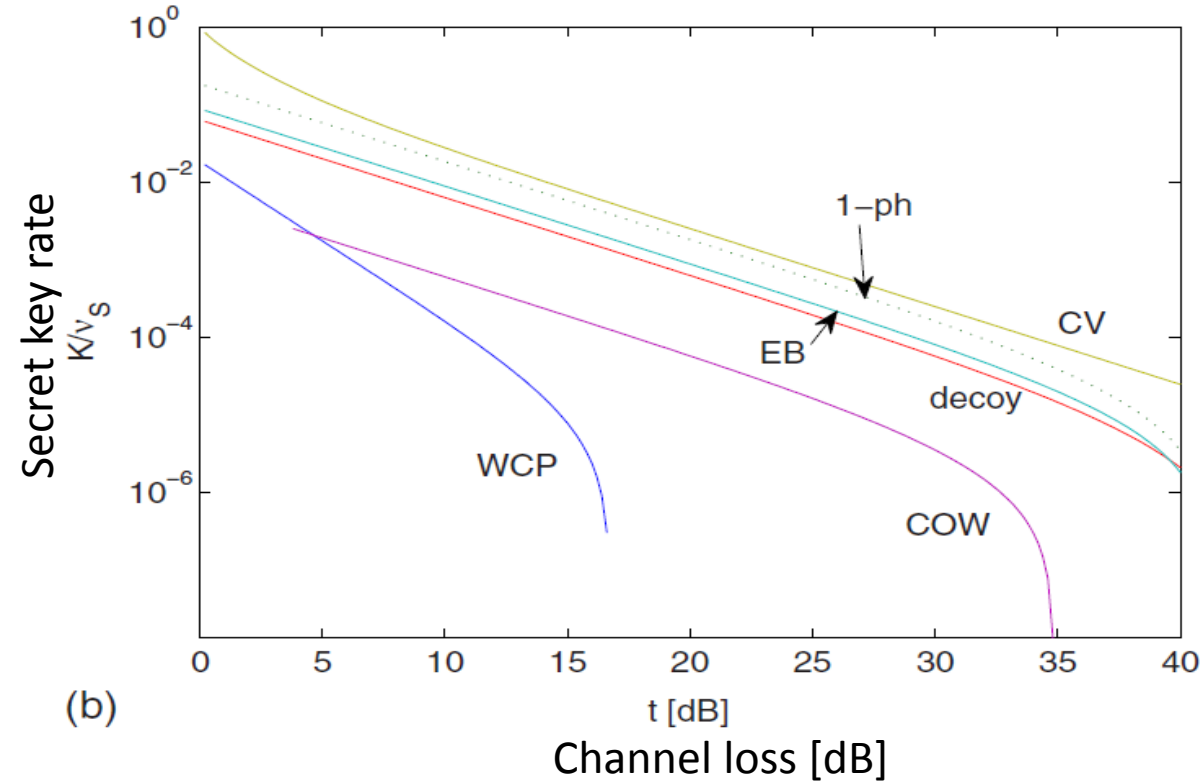


Mark M. Wilde (LSU)



- Quantum Key Distribution can generate a shared key perfectly secret against any eavesdropper.
- Various (repeaterless) QKD protocols have been proposed so far.
- In all known protocols, the key rate decreases linearly with respect to the channel loss.

Various QKD protocols



Platform	Parameter	Set 1	Set 2
BB84, COW	μ (mean intensity)	(Opt.)	(Opt.)
	V (visibility): P&M	0.99	0.99
	V (visibility): EB	0.96	0.99
	t_B (transmission in Bob's device)	1	1
	η (detector efficiency)	0.1	0.2
	p_d (dark counts)	10^{-5}	10^{-6}
	ε (COW) (bit error)	0.03	0.01
	ζ (EB) (coherent four photons)	0	0
	Leak (EC code)	1.2	1
	CV	$v = v_A + 1$ (variance)	(Opt.)
ε (optical noise)		0.005	0.001
η (detector efficiency)		0.6	0.85
v_{el} (electronic noise)		0.01	0
β (EC code)		0.9	0.9

Scarani et al., Rev. Mod. Phys. 81, 1301 (2009)

Example 1: Ideal single-photon BB84

- Secret key generation rate for the single-photon efficient BB84

Scarani et al., RMP 81, 1301 (2009)

$$R = p_{\text{sift}} \eta \eta_B \eta_D (1 - 2h(Q))/2$$

η : channel transmissivity
 η_B : Bob's device efficiency
 η_D : Bob's detector efficiency
 Q : QBER
 p_{sift} : sifting rate
 $h(\cdot)$: binary entropy

- Ideal case


$$\eta_B = \eta_D = 1, \quad Q = 0$$

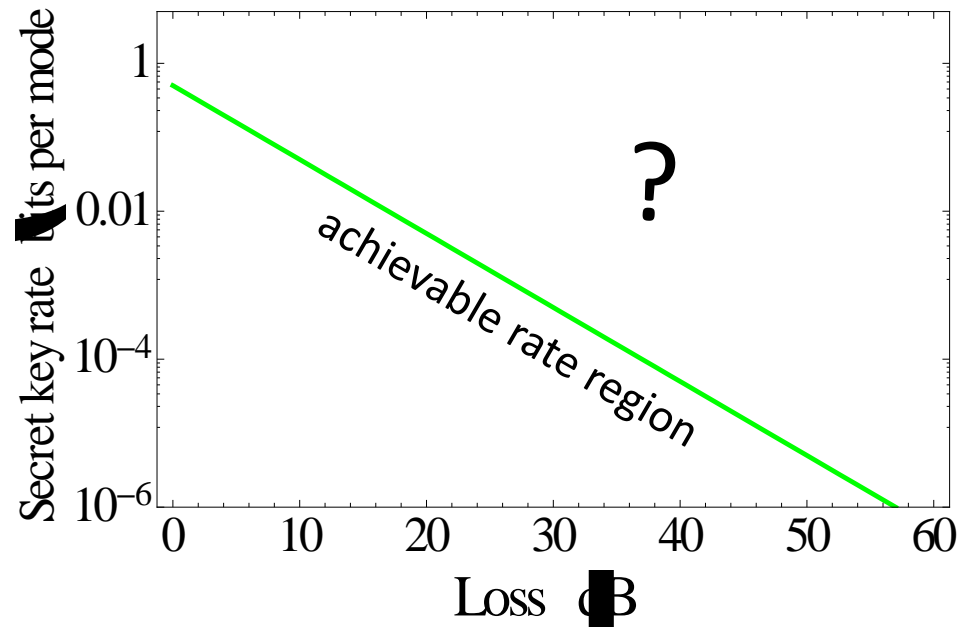
$$p_{\text{sift}} \rightarrow 1$$

(efficient BB84 protocol)

Lo et al., J. Crypt. 18, 133 (2006)

Key rate (per mode, pulse)


$$R = \eta/2$$



Example2: CV-QKD (GG02)

Scarani et al., RMP 81, 1301 (2009)

$$R = \beta I(A; B) - \chi(B; E)$$

- Ideal case

$$\eta_D = 1, \quad \epsilon = v_D = 0$$

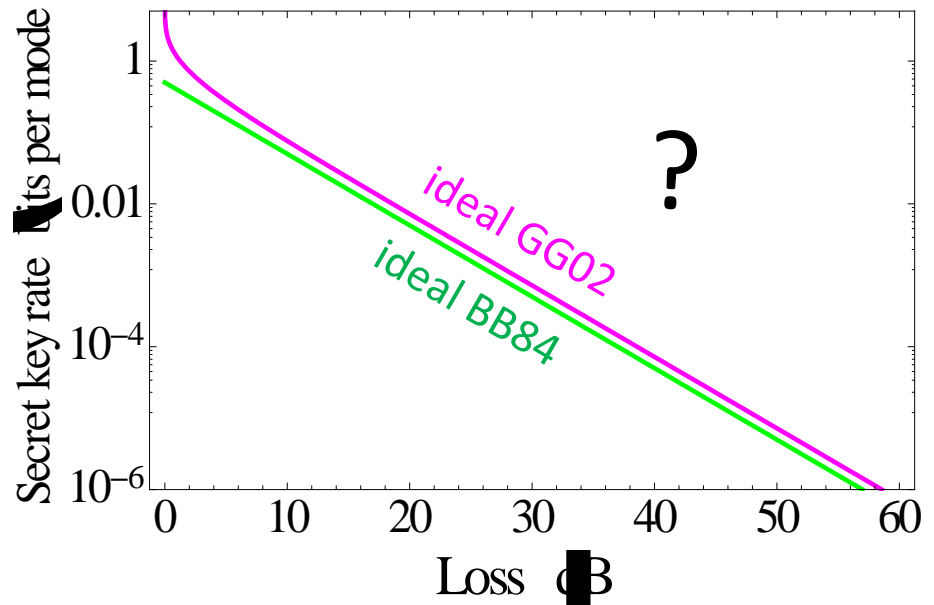
$$\beta = 1$$

ϵ : optical noise

η_D : Bob's detector efficiency

v_D : electronics noise

β : EC efficiency



Example 3: Reverse Coherent Information

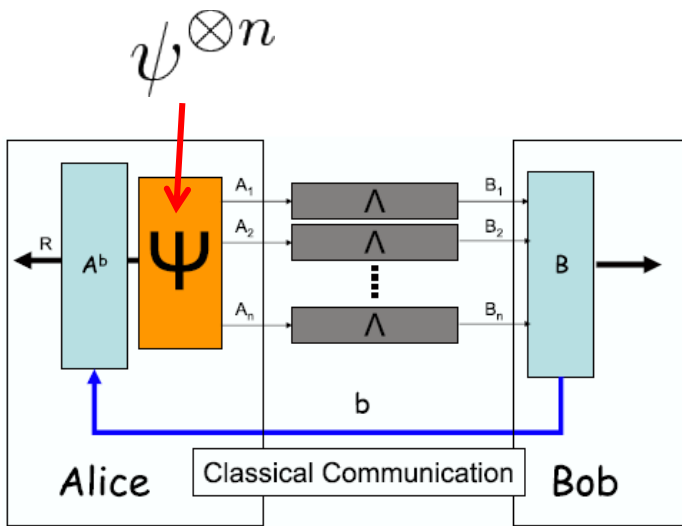
Garcia-Patron et al., PRL 102, 210501 (2009)
 Pirandola et al., PRL 102, 050503 (2009)

$$R = \max_{\rho} I_R(\rho_{RB})$$

Reverse coherent information

$$I_R(\rho_{RB}) = H(R)_{\rho} - H(RB)_{\rho}$$

$H(R)_{\rho}$: von Neumann entropy of $\text{Tr}_B[\rho_{RB}]$

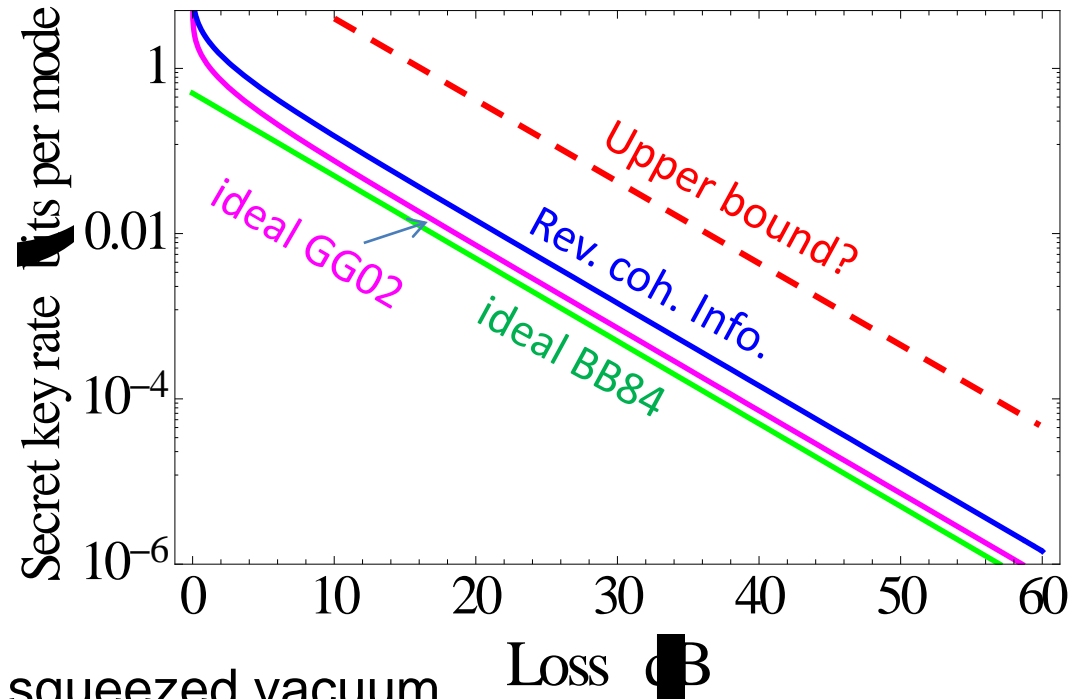


Garcia-Patron et al., PRL 102, 210501 (2009)

For a lossy channel

$$\max_{\rho} I_R(\rho_{RB}) = \log_2 \frac{1}{1 - \eta}$$

with (infinitely strong) two-mode squeezed vacuum



Loss dB

Is this a fundamental rate-loss tradeoff in any optical QKD?

Are there yet-to-be-discovered optical QKD protocols that could circumvent the linear rate-loss tradeoff (without repeaters or trusted nodes)?

Our result

- We show that this is not possible.
- We prove that the secret key agreement capacity (private capacity) of a lossy optical channel assisted by two-way public classical communication is *upper bounded* by:

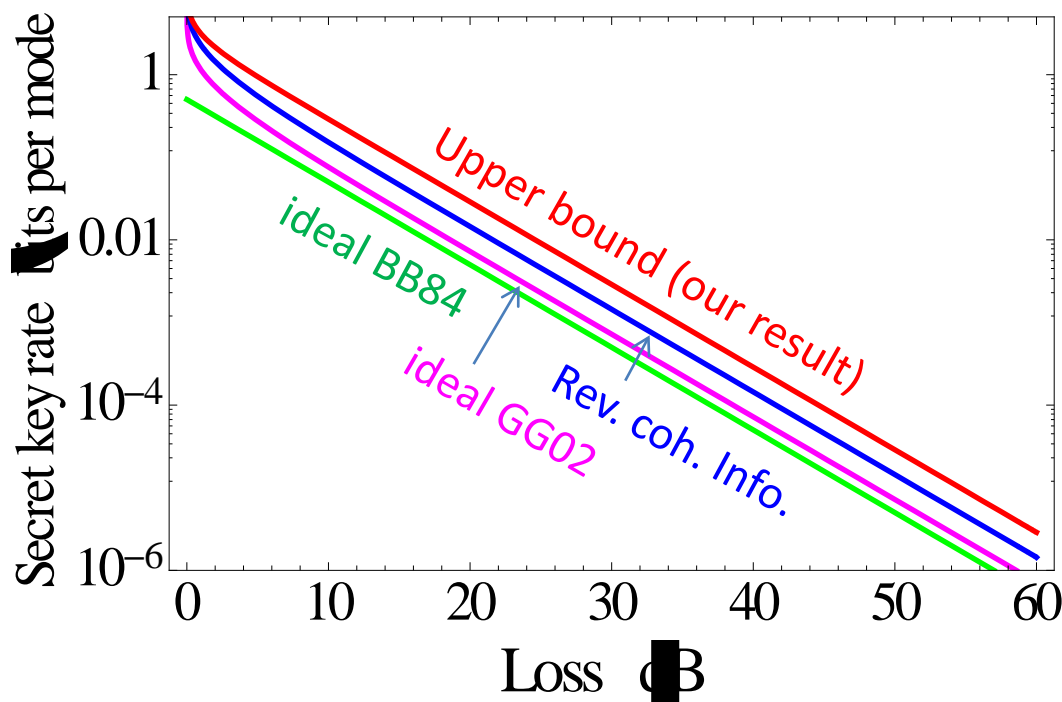
$$\log_2 \frac{1 + \eta}{1 - \eta}$$



$$\log_2 \frac{1}{1 - \eta}$$

(Rev. coh. info. LB)

η : channel transmittance



- Generic point-to-point QKD protocol and its capacity (secret key agreement capacity assisted by two-way public classical communication)
- Squashed entanglement of a quantum channel as an upper bound on the two-way assisted SKA capacity
- Pure-loss optical channel
- Loss and noise optical channel
- Summary

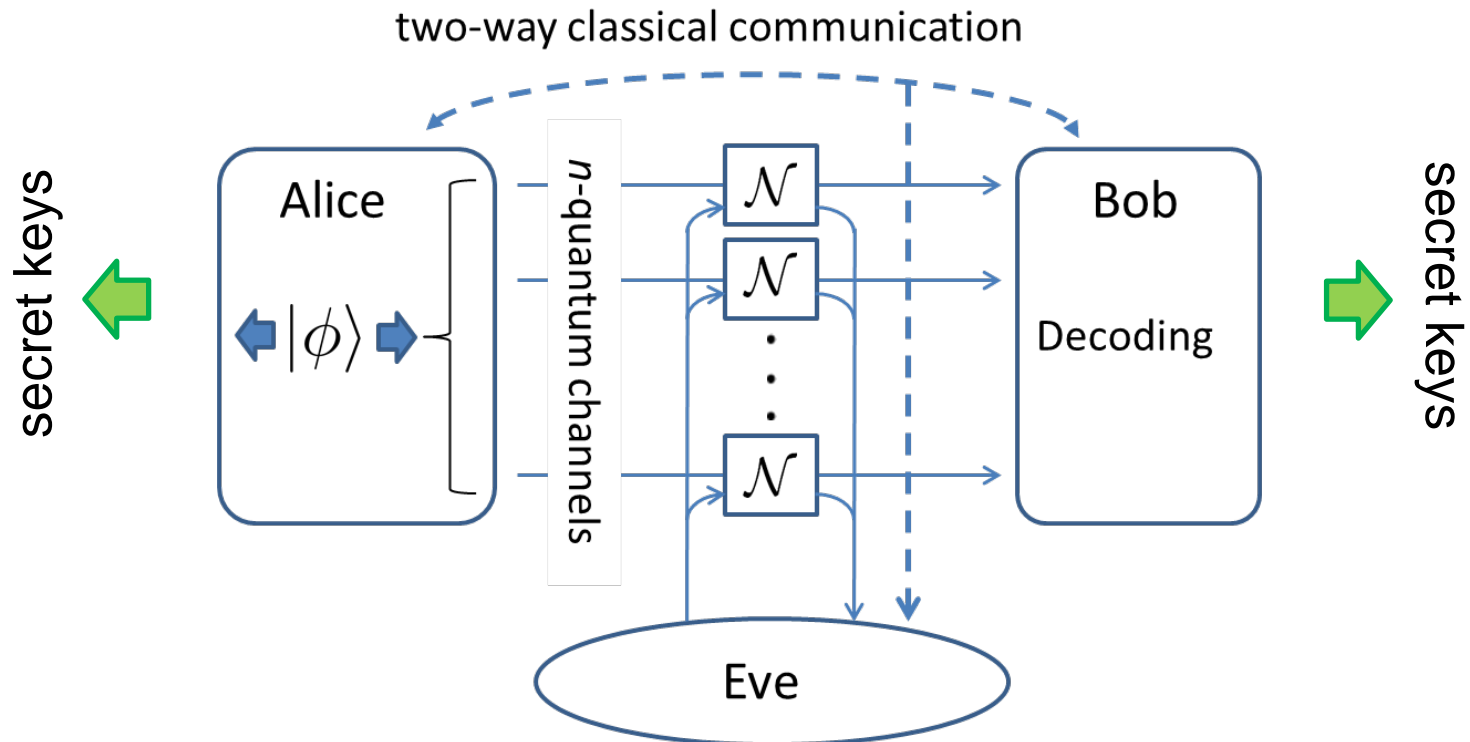
Rest of the talk



- Generic point-to-point QKD protocol and its capacity (secret key agreement capacity assisted by two-way public classical communication)
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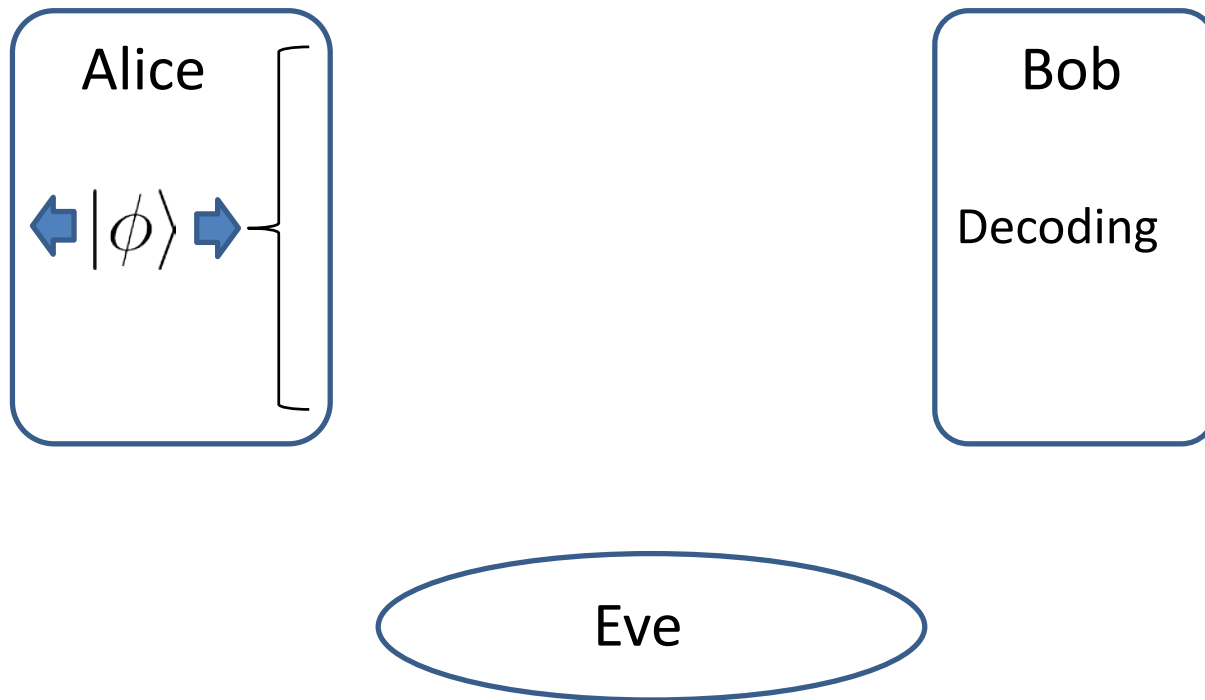
General point-to-point QKD

General secret key agreement assisted by unlimited two-way public classical communication

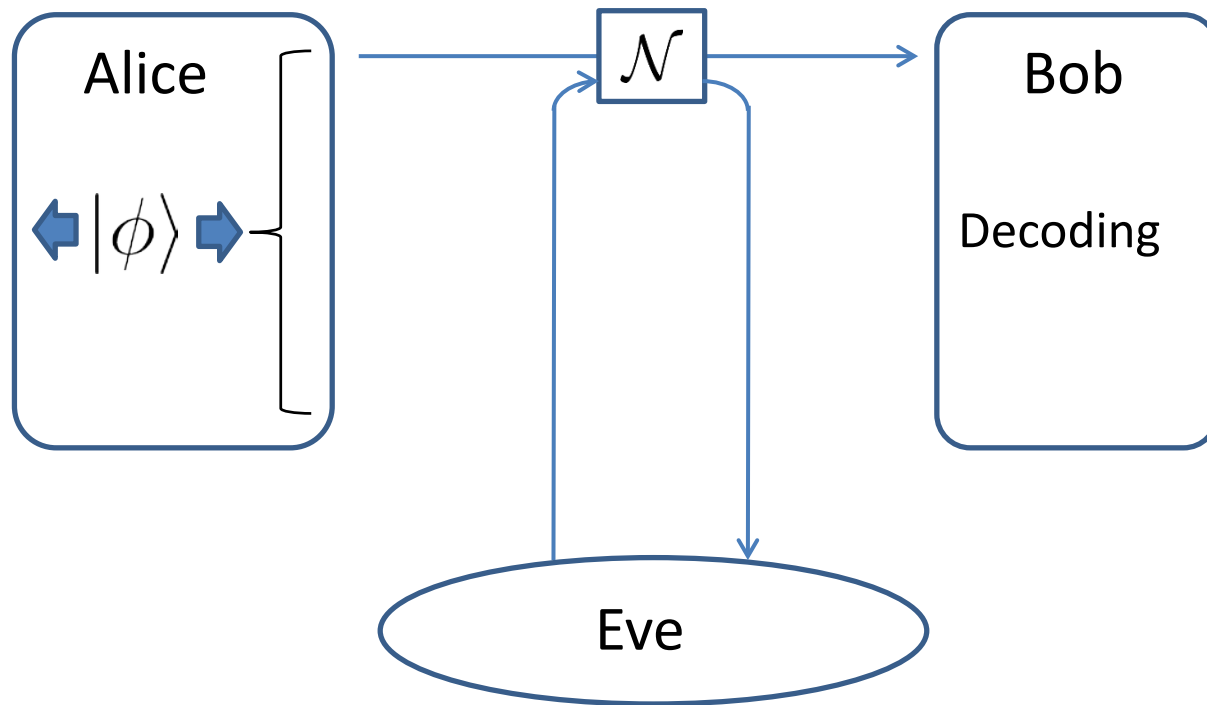


General secret key agreement assisted by unlimited two-way public classical communication

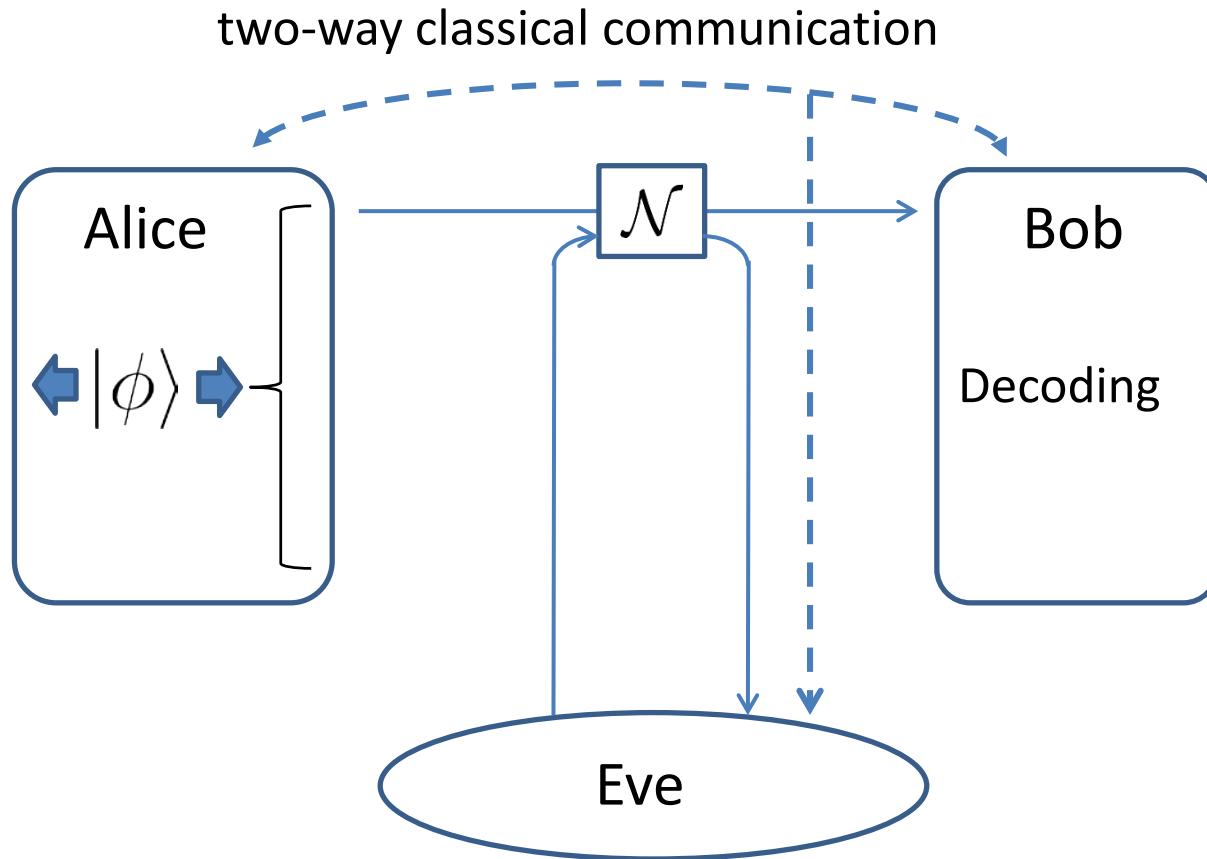
General point-to-point QKD



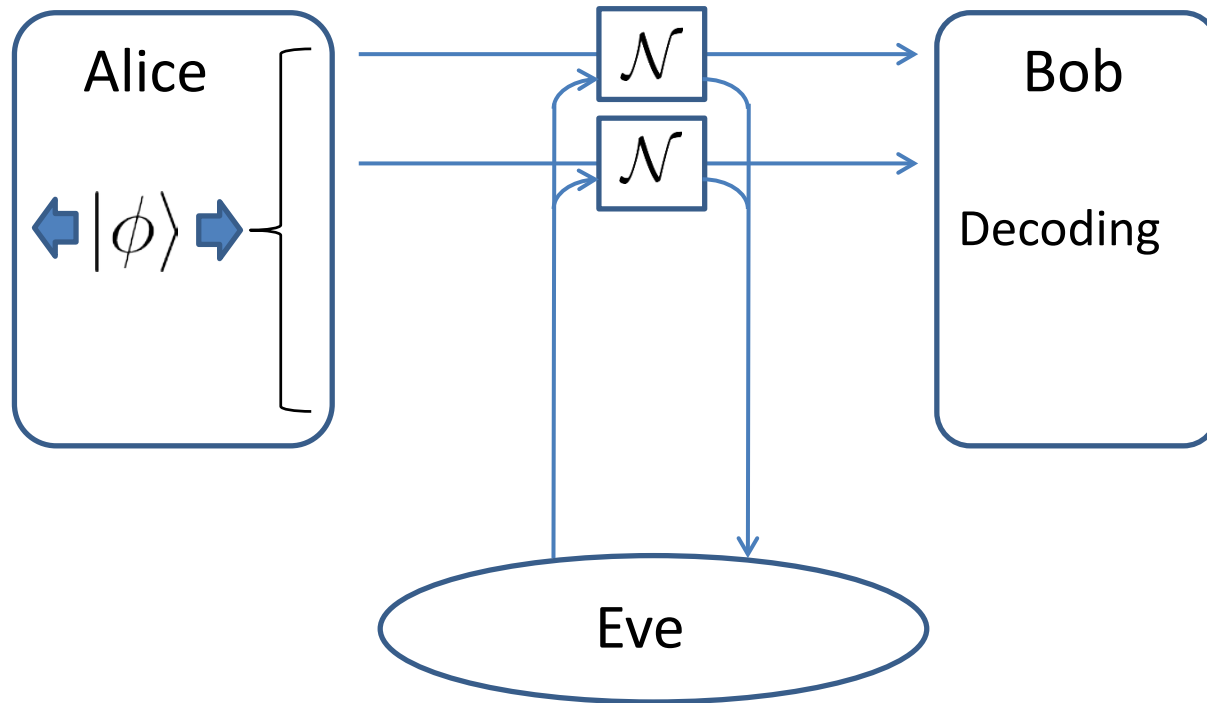
General point-to-point QKD



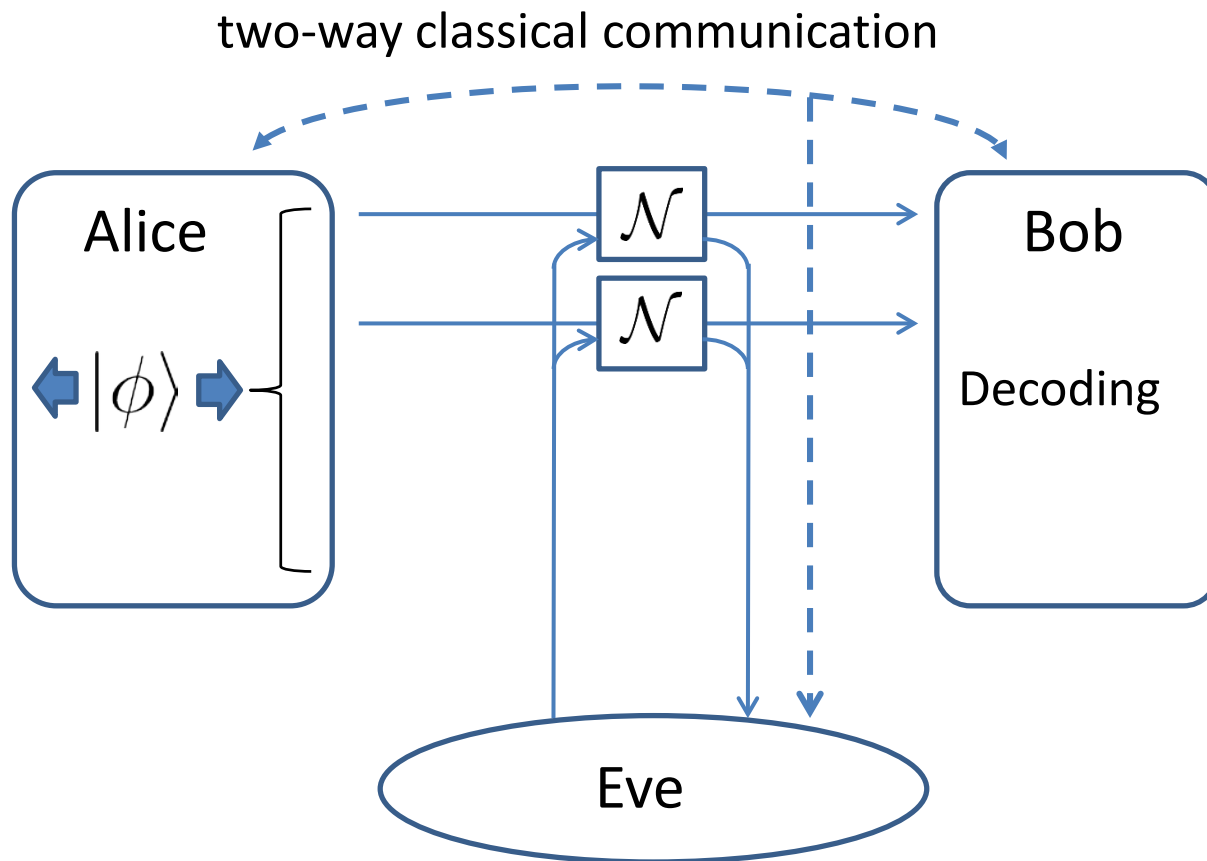
General point-to-point QKD



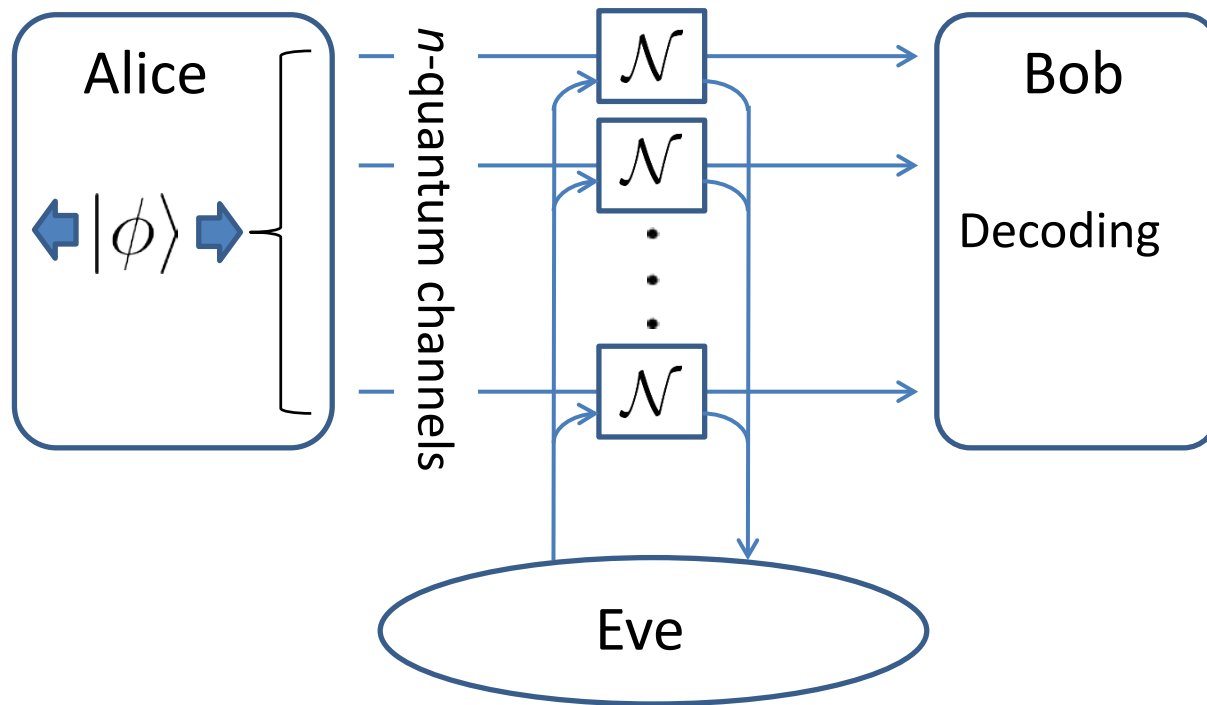
General point-to-point QKD



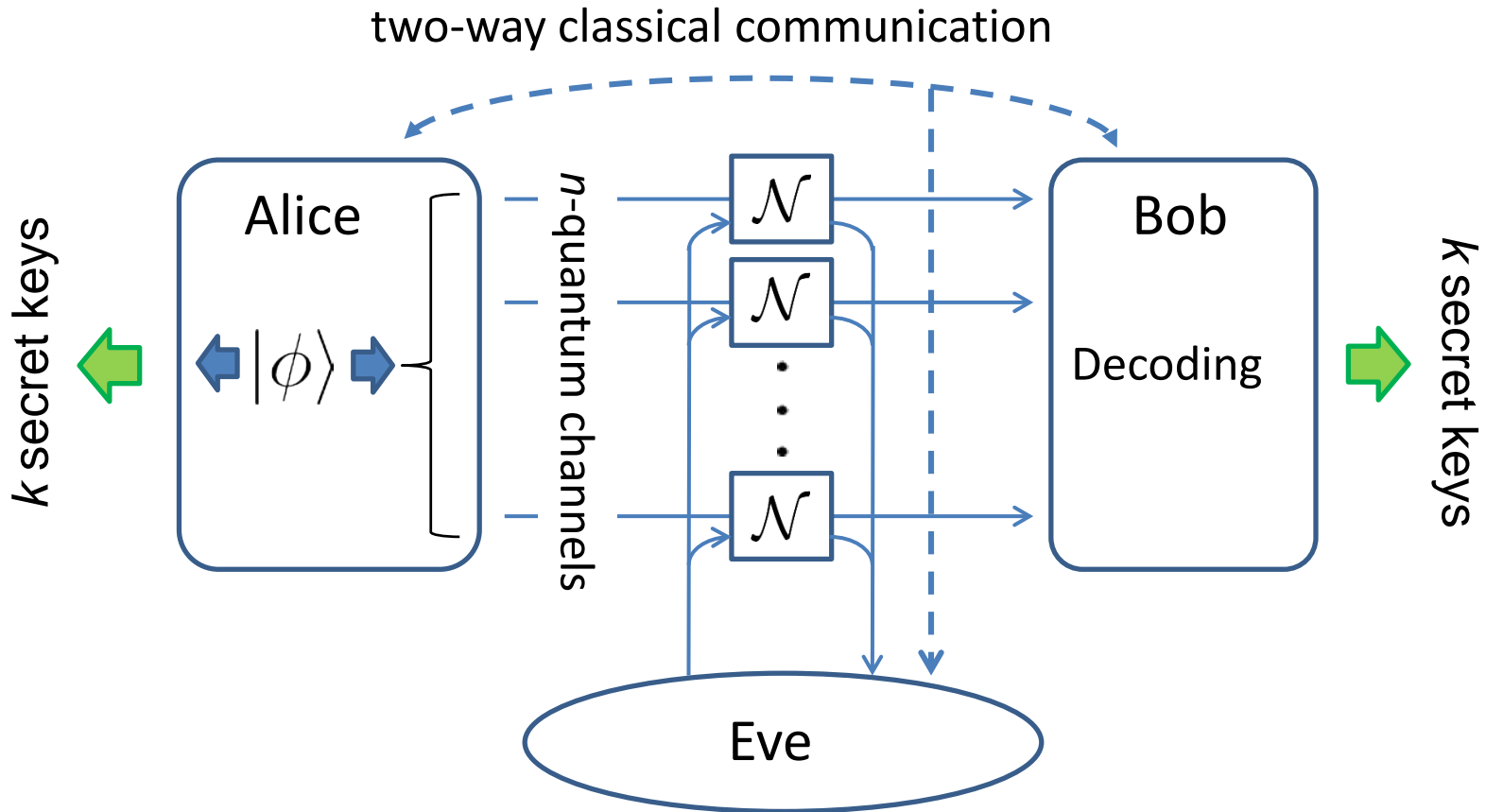
General point-to-point QKD



General point-to-point QKD



General point-to-point QKD



Secret key generation rate: $R=k/n$

Upper bound on the key rate: squashed entanglement of a quantum channel

$$R \leq E_{\text{sq}}(\mathcal{N}) = \max_{|\psi\rangle_{AA'}} E_{\text{sq}}(A; B)_{\rho}$$

$E_{\text{sq}}(\mathcal{N})$: Squashed entanglement of a quantum channel

MT, Guha, Wilde, arXiv:1310.0129

$E_{\text{sq}}(A; B)_{\rho}$: Squashed entanglement
(of a bipartite state ρ_{AB})

Christandl, Winter, J. Math. Phys. 45, 829 (2004)

Squashed entanglement

Squashed entanglement: $E_{sq}(A;B)$

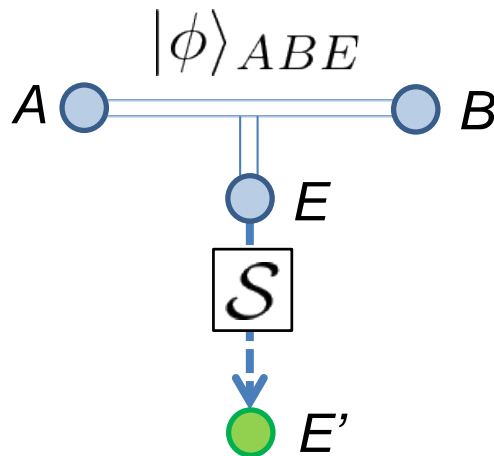
Christandl, Winter, J. Math. Phys. 45, 829 (2004)

$I(A; B|E')_\rho$ conditional quantum mutual information

$$E_{sq}(A; B)_\rho \equiv \frac{1}{2} \inf_{\mathcal{S}_{E \rightarrow E'}} I(A; B|E')_\rho$$

$$= H(AE')_\rho + H(BE')_\rho - H(ABE')_\rho - H(E')_\rho$$

$$H(A)_\rho = -\text{Tr}[\rho_A \log \rho_A]$$



$$\rho_{AB} = \text{Tr}_E[|\phi\rangle\langle\phi|_{ABE}]$$

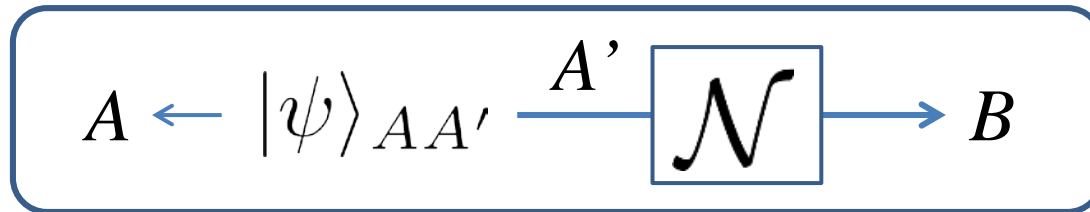
Channel S should be chosen to *squash* the quantum correlations between Alice and Bob (the squashing channel)

- Entanglement measure for a bipartite state (LOCC monotone, ...)
- Inspired by secrecy capacity upper bound in classical theory (intrinsic information)

Squashed entanglement of a quantum channel

Definition:

$$E_{sq}(\mathcal{N}) = \max_{|\psi\rangle_{AA'}} E_{sq}(A; B)_\rho \quad \text{where} \quad \rho_{AB} = \mathcal{N}_{A' \rightarrow B}(|\psi\rangle\langle\psi|_{AA'})$$



Theorem1

$E_{\text{sq}}(N)$ is an upper bound on the secret key generation rate R

$$R \leq E_{\text{sq}}(\mathcal{N})$$

MT, Guha, Wilde, arXiv:1310.0129

Proof outline

1. Secret key distillation upper bound

Christandl, et al., arXiv:quant-ph/0608119

2. New subadditivity inequality
for the squashed entanglement

1. Secret key distillation upper bound

Theory 3.7. Squashed entanglement $E_{\text{sq}}(A; B)_\rho$ is an upper bound on the distillable key rate from a tensor product state $\rho_{AB}^{\otimes n}$

Christandl, et al., arXiv:quant-ph/0608119

The statement is proved by using the following four properties:

1. Monotonicity (does not increase under LOPC)

2. Continuity: if $\|\rho - \sigma\|_1 \leq \epsilon$ then $|E_{\text{sq}}(A; B)_\rho - E_{\text{sq}}(A; B)_\sigma| \leq f(\epsilon)$ $\left[\lim_{\epsilon \rightarrow 0} f(\epsilon) \rightarrow 0 \right]$

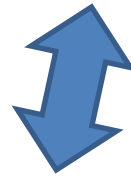
3. Normalization: $E_{\text{sq}}(A; B)_\gamma \geq \log d$ γ : private state Horodecki et al, PRL 94, 160502 (2005)

4. Subadditivity on tensor product states: $E_{\text{sq}}(A^n; B^n)_{\rho^{\otimes n}} \leq nE_{\text{sq}}(A; B)_\rho$



The similar technique is applicable to our channel scenario *except 4.*

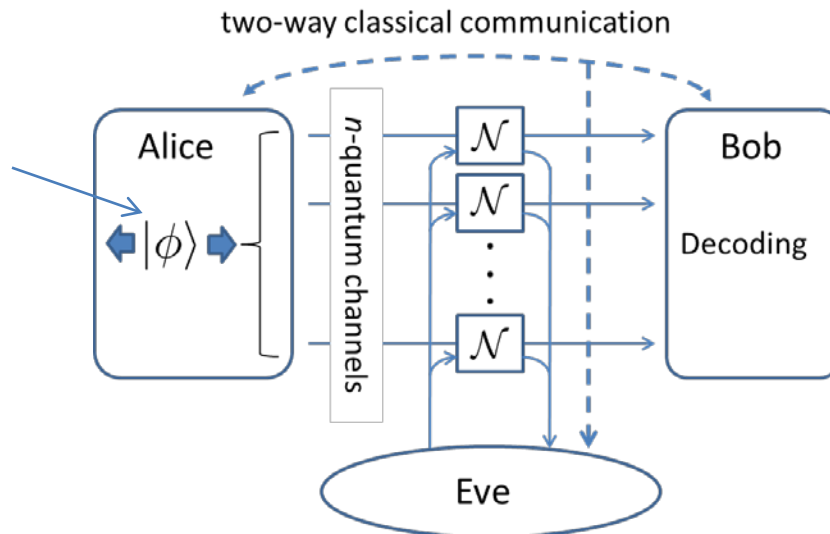
4. Subadditivity on tensor product states: $E_{\text{sq}}(A^n; B^n)_{\rho^{\otimes n}} \leq nE_{\text{sq}}(A; B)_{\rho}$



Product state

Can be replaced by $E_{\text{sq}}(\mathcal{N}^n) \leq nE_{\text{sq}}(\mathcal{N})$?

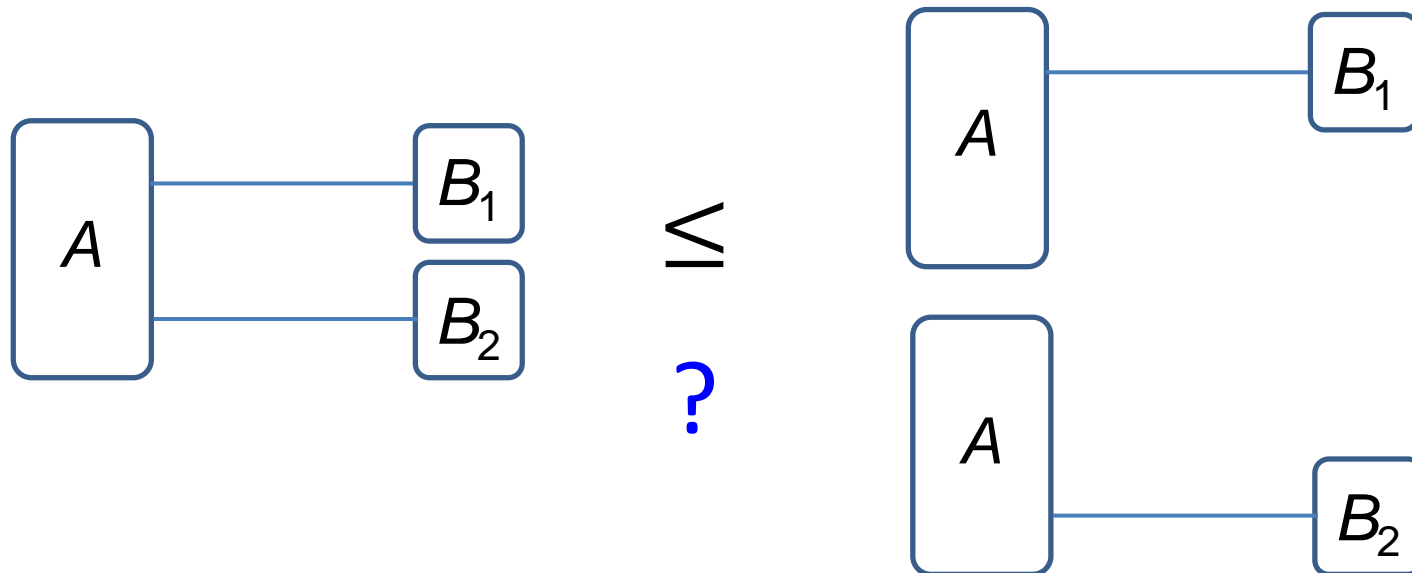
Could be entangled
over n -channel use!



Subadditivity of $E_{sq}(M)$?

$E_{sq}(\mathcal{N}^n) \leq nE_{sq}(\mathcal{N})$ is true if one can show something like

$$E_{sq}(A; B_1 B_2)_\rho \leq E_{sq}(A; B_1)_\rho + E_{sq}(A; B_2)_\rho \quad [A = A_1 A_2]$$



Subadditivity of E_{sq} ?

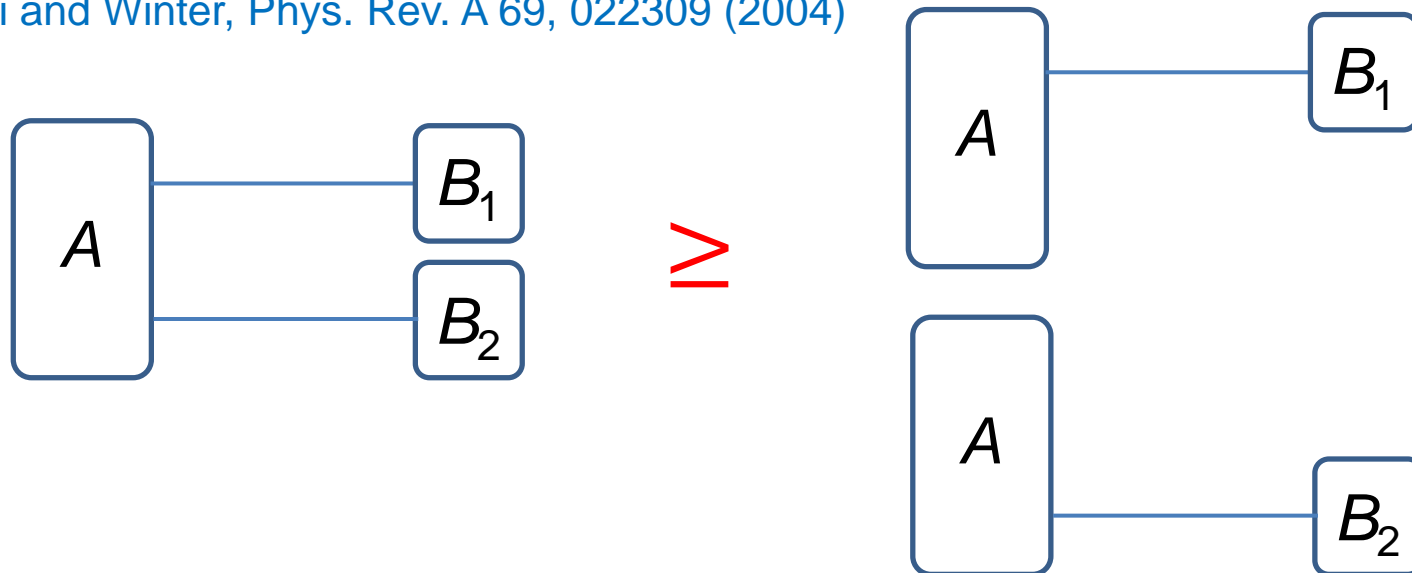
$E_{sq}(\mathcal{N}^n) \leq nE_{sq}(\mathcal{N})$ is true if one can show something like

~~$$E_{sq}(A; B_1 B_2)_\rho \leq E_{sq}(A; B_1)_\rho + E_{sq}(A; B_2)_\rho \quad [A = A_1 A_2]$$~~

Monogamy of entanglement

$$E_{sq}(A; B_1 B_2)_\rho \geq E_{sq}(A; B_1)_\rho + E_{sq}(A; B_2)_\rho$$

Koashi and Winter, Phys. Rev. A 69, 022309 (2004)



New subadditivity-like inequality

$E_{sq}(A; B_1 B_2)_\rho \leq E_{sq}(A; B_1)_\rho + E_{sq}(A; B_2)_\rho$ is not possible.

However, we are able to show the following inequality:

Lemma

For any five-party pure state $\psi_{AB_1 E_1 B_2 E_2}$

$$E_{sq}(A; B_1 B_2)_\psi \leq E_{sq}(AB_2 E_2; B_1)_\psi + E_{sq}(AB_1 E_1; B_2)_\psi$$

holds.

MT, Guha, Wilde, arXiv:1310.0129

Proof consists of a chain of (in)equalities based on

-Duality of conditional entropy $H(K|L) + H(K|M) = 0$ for $|\psi\rangle_{KLM}$

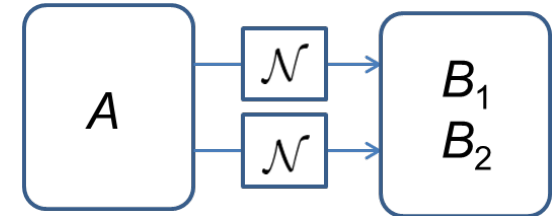
-Strong subadditivity $I(K; L|M)_\rho \geq 0$ for ρ_{KLM}

Subadditivity of $E_{\text{sq}}(\mathcal{N})$

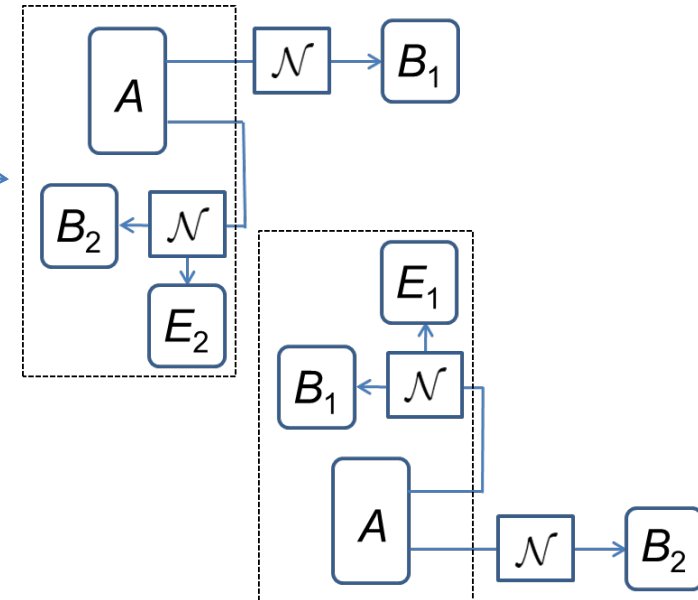
$$E_{\text{sq}}(A; B_1 B_2)_\psi \leq E_{\text{sq}}(AB_2 E_2; B_1)_\psi + E_{\text{sq}}(AB_1 E_1; B_2)_\psi$$

implies $E_{\text{sq}}(\mathcal{N}^2) \leq 2E_{\text{sq}}(\mathcal{N})$

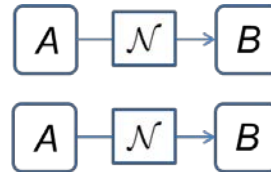
$$E_{\text{sq}}(\mathcal{N}^2) = E_{\text{sq}}(A; B_1 B_2)_\varphi$$



$$\leq E_{\text{sq}}(AB_2 E_2; B_1)_\varphi + E_{\text{sq}}(AB_1 E_1; B_2)_\varphi$$



$$\leq 2E_{\text{sq}}(\mathcal{N})$$



$$E_{\text{sq}}(\mathcal{N}) \equiv \max_{|\phi\rangle_{AA'}} E_{\text{sq}}(A; B)_\rho$$

$$|\varphi\rangle_{AB_1 E_1 B_2 E_2} \equiv U_{A_1 \rightarrow B_1 E_1}^{\mathcal{N}} \otimes U_{A_2 \rightarrow B_2 E_2}^{\mathcal{N}} |\phi\rangle_{AA_1 A_2}$$

Proof outline



From the following four conditions:

1. Monotonicity (does not increase under LOPC)
2. Continuity: if $\|\rho - \sigma\|_1 \leq \epsilon$ then $|E_{\text{sq}}(A; B)_\rho - E_{\text{sq}}(A; B)_\sigma| \leq f(\epsilon)$ $\left[\lim_{\epsilon \rightarrow 0} f(\epsilon) \rightarrow 0 \right]$
3. Normalization: $E_{\text{sq}}(A; B)_\gamma \geq \log d$ $\left[\gamma: \text{private state} \right]$
4. Subadditivity: $E_{\text{sq}}(\mathcal{N}^n) \leq nE_{\text{sq}}(\mathcal{N})$

One can show

$$\Rightarrow R \leq E_{\text{sq}}(\mathcal{N}) + f(\epsilon)$$

$$f(\epsilon) = (16\sqrt{\epsilon} \log d + 4h_2(2\sqrt{\epsilon})) / n$$

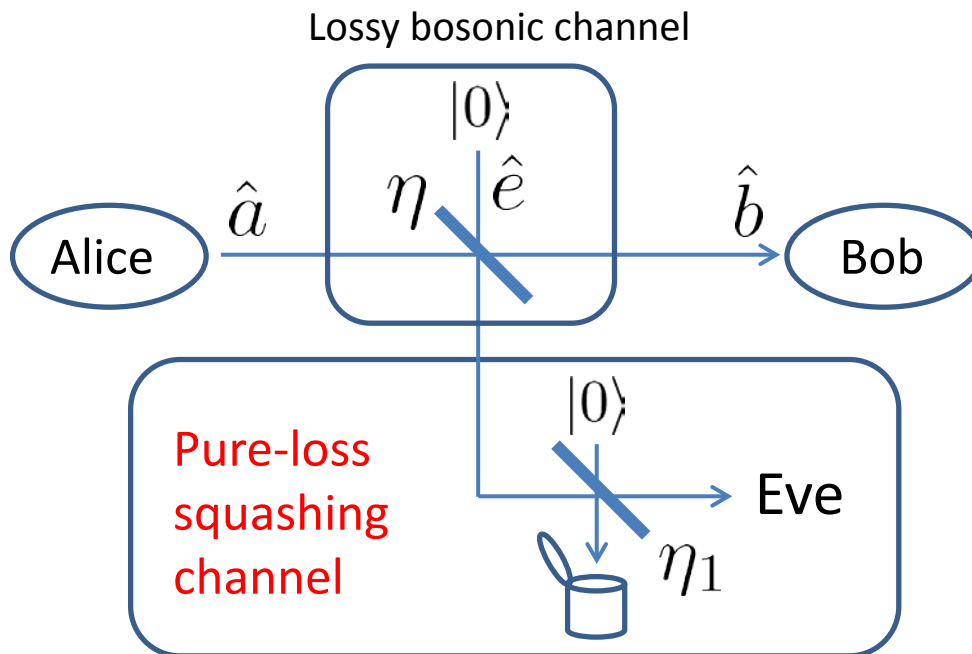
For the details of the proof, see
[MT, Guha, Wilde, arXiv:1310.0129](#)

- Generic point-to-point QKD protocol and its capacity (secret key agreement capacity assisted by two-way public classical communication)
- Squashed entanglement of a quantum channel as an upper bound on the two-way assisted SKA capacity
- **Pure-loss optical channel**
- Loss and noise optical channel
- Summary

Lossy bosonic channel

$$\begin{aligned}
 E_{sq}(\mathcal{N}_{LB}) &= \max_{|\psi\rangle_{AA'}} E_{sq}(A; B)_\rho \\
 &= \max_{|\psi\rangle_{AA'}} \inf_{\mathcal{S}_{E \rightarrow E'}} \frac{1}{2} I(A; B|E')
 \end{aligned}$$

Need to find a good squashing channel
(in a heuristic way...)



$$I(A; B|E')$$

➔ minimized at $\eta_1 = 1/2$

maximized with $|\psi\rangle_{AA'}^{TMSV}$

(Two-mode squeezed vacuum)

Lossy bosonic channel



$$E_{sq}(\mathcal{N}_{LB}) \leq g((1 + \eta)N_s/2) - g((1 - \eta)N_s/2)$$

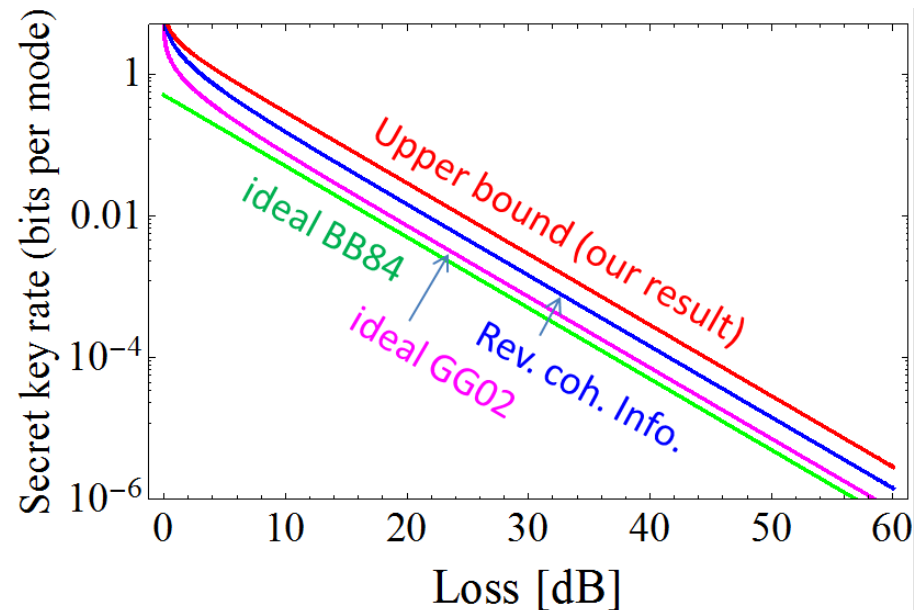
$$g(x) = (x + 1) \log_2(x + 1) - x \log_2 x$$

N_s : a mean input power

(average photon number of one share of the TMSV)

$$N_s \rightarrow \infty$$

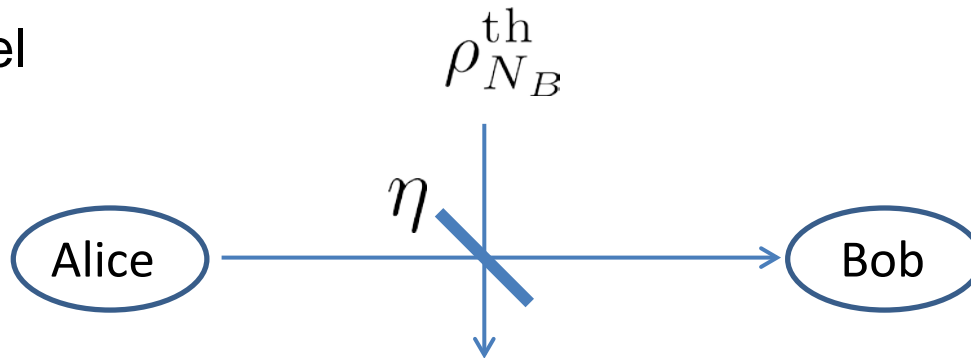
$$E_{sq}(\mathcal{N}_{LB}) \leq \log_2 \frac{1 + \eta}{1 - \eta}$$



- Generic point-to-point QKD protocol and its capacity (secret key agreement capacity assisted by two-way public classical communication)
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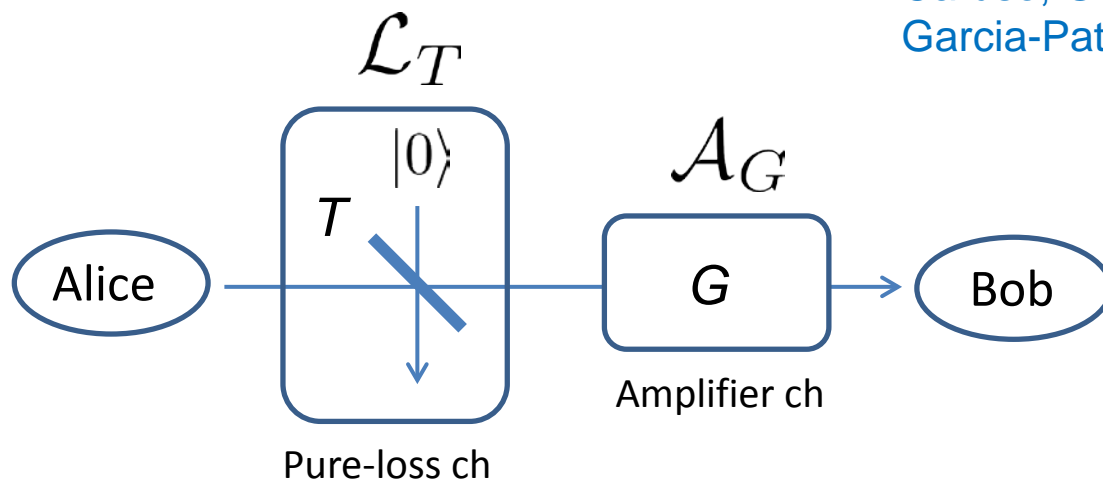
Loss and thermal noise channel

Channel model



Decomposition of the phase-insensitive Gaussian channel

Caruso, Giovannetti, Holevo, NJP 8, 310 (2006)
Garcia-Patron et al., PRL 108, 110505 (2012)





$$T = \frac{\eta}{(1 - \eta)N_B + 1}$$

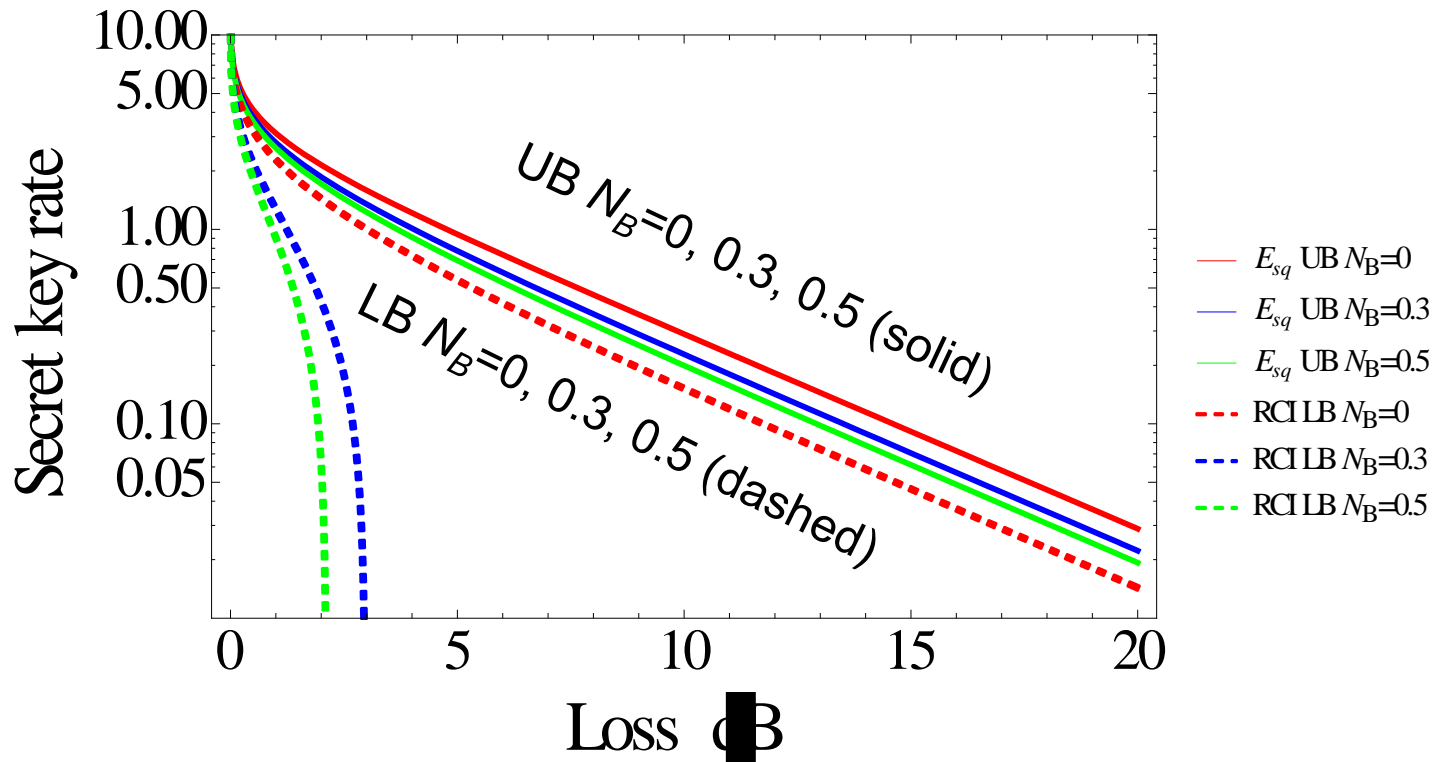
$$G = (1 - \eta)N_B + 1$$

Loss and thermal noise channel

$$E_{sq}(\mathcal{N}) = E_{sq}(\mathcal{A}_G \circ \mathcal{L}_T) \leq E_{sq}(\mathcal{L}_T)$$


 Data processing inequality
 for quantum conditional mutual information


 $P_2(\mathcal{N}), Q_2(\mathcal{N}) \leq \log_2 \frac{1+T}{1-T} = \log_2 \frac{(1-\eta)N_B + 1 + \eta}{(1-\eta)N_B + 1 - \eta}$



Summary

- The secret key rate of *any* repeaterless QKD protocols in a lossy optical channel is upper bounded by

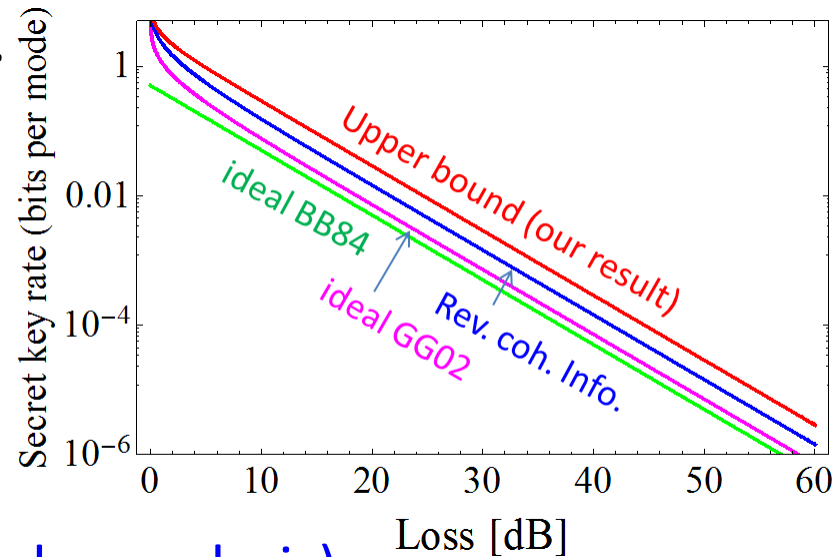
$$\log_2 \frac{1 + \eta}{1 - \eta} \quad (\text{weak converse})$$

- The bound is based on the squashed entanglement of a quantum channel, which is a general upper bound on the two-way classically assisted secret key agreement capacity.

- Open problems

- True two-way assisted secret key capacity?
- Tight UB for a noisy channel?
- Finite block code analysis

(needs strong converse or second order analysis)





Finite n analysis

- Our upper bound is a *weak converse*
 - ➔ For the tight upper bound on finite block length, a *strong converse* or a *second order analysis* should be established.
- However, we can estimate the effect of finite block length from our result.

$$R \leq E_{\text{sq}}(\mathcal{N}) + f(\epsilon)$$

$$f(\epsilon) = (16\sqrt{\epsilon} \log d + 4h_2(2\sqrt{\epsilon})) / n$$



$$R \leq \frac{1}{1 - 16\sqrt{\epsilon}} (E_{\text{sq}}(\mathcal{N}) + 4h_2(2\sqrt{\epsilon})/n)$$

Finite n analysis



$$R \leq \frac{1}{1 - 16\sqrt{\epsilon}} (E_{\text{sq}}(\mathcal{N}) + 4h_2(2\sqrt{\epsilon})/n)$$

ϵ : secrecy (based on the trace distance criteria)

n : code length

Example in a pure-loss optical channel:

200 km fiber (0.2dB/km loss)

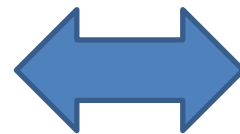
$$\epsilon = 10^{-10}, n = 10^4$$



$$1/(1 - 16\sqrt{\epsilon}) \approx 1.0002$$

$$4h_2(2\sqrt{\epsilon})/n \approx 1.36 \times 10^{-7}$$

$$R \leq 2.887 \times 10^{-4}$$



$$\log_2 \frac{1 + \eta}{1 - \eta}$$

$$\approx 2.885 \times 10^{-4}$$