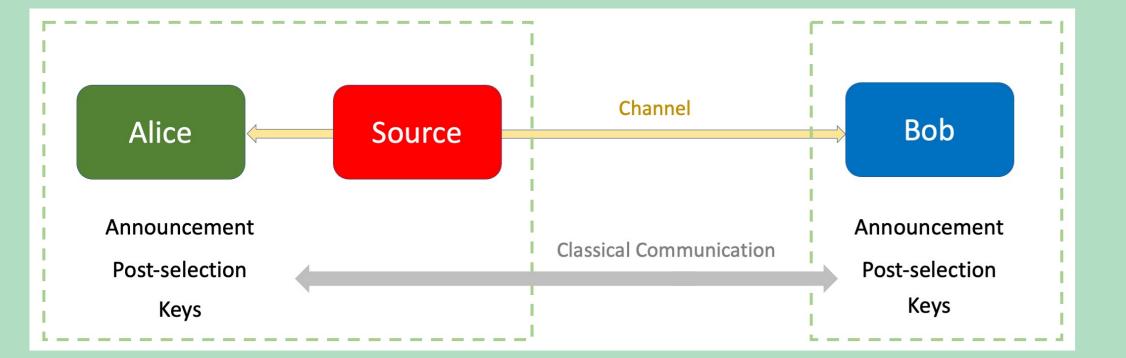
An Open-source Software Platform for Numerical Key Rate Calculation of General **Quantum Key Distribution Protocols**

In this work, we present an open-source software platform that calculates key rate for general QKD protocols, building upon the numerical framework proposed by our group that can perform automated security proof of QKD protocols. The software platform is fully modularized with mutually independent modules for bounding key rate, and parameter optimization algorithms. It currently supports BB84 and measurement-device-independent QKD. It also supports finite-size analysis for non-decoy-state protocols. We hope that the open-sourcing can attract theorists to test new protocols and/or contribute to new solvers, as well as appeal to experimentalists who wish to analyze their data or optimize parameters for new experiments.

Background

Our group has proposed a novel **numerical approach** [1,2] for the security proof of general QKD protocols.



A QKD protocol can be described in a "prototypical" form [2] as above with the steps of:

- Alice and Bob perform measurements (**POVMs**);
- Alice and Bob make announcements and post-selection based on the state they receive, a process represented by a quantum channel (Kraus operators);
- Alice applies **key map** to obtain raw key;
- Alice passes classical information to Bob for error-correction;
- Alice and Bob perform privacy amplification to form final key

The key rate is:

$$R = \min_{\rho \in S} f(\rho) - p_{pass} \times leak_{obs}^{EC}$$

where $f(\rho) = D(\mathcal{G}(\rho) || \mathcal{Z}(\mathcal{G}(\rho)))$ is the quantum relative entropy, and maps ${\cal G}$ and ${\cal Z}$ are defined by the Kraus operator and key map, respectively. The term $p_{pass} \times leak_{obs}^{EC}$ is the leaked information during error-correction.

The calculation of key rate comes down to minimizing the privacy amplification part $f(\rho)$, given that ρ satisfies the constraints S given by POVMs $\{\Gamma_k\}$ and their observed **expectation values** $\{\gamma_k\}$.

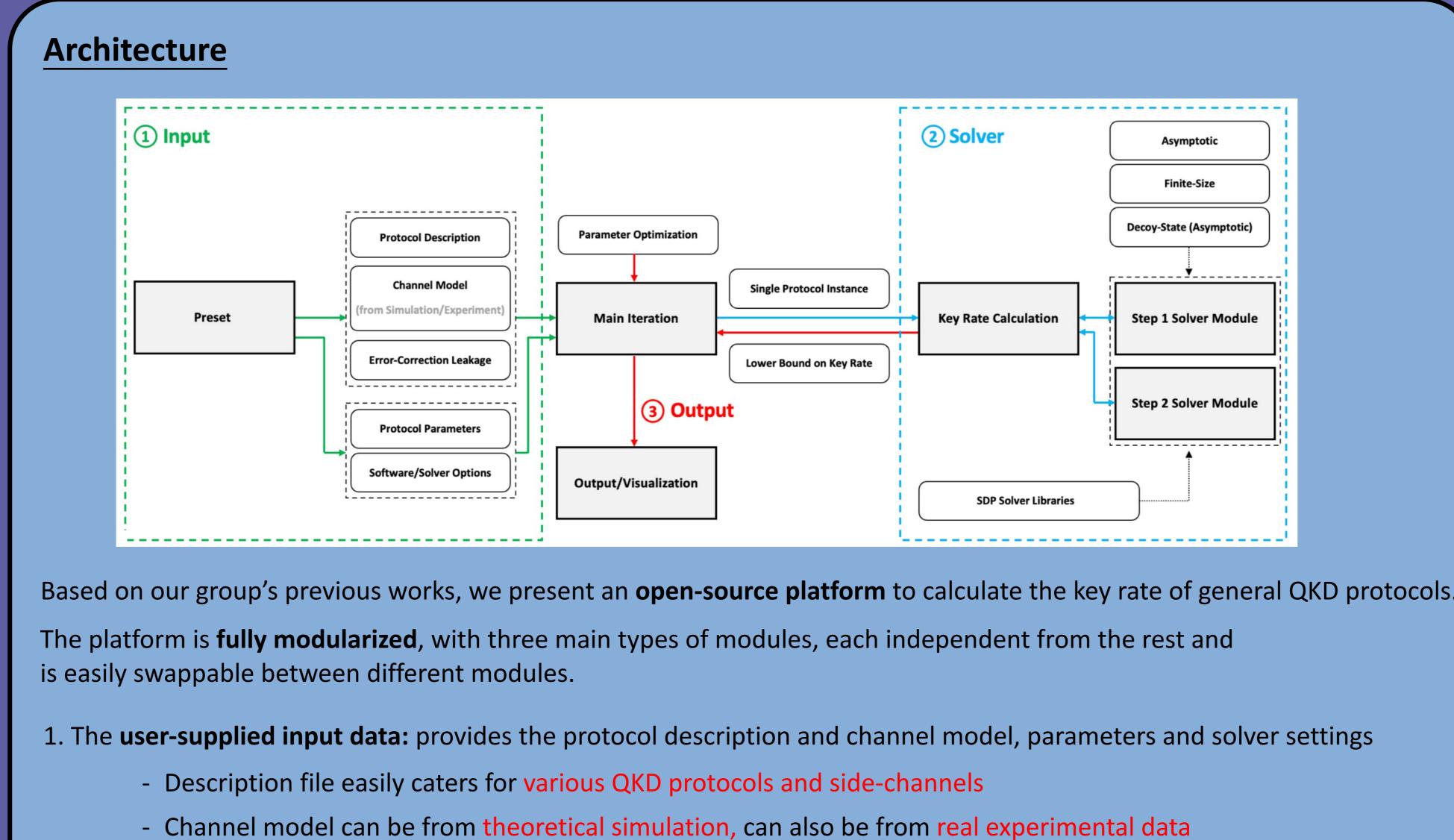
We can lower-bound the key rate of a protocol, such as using a "twostep approach" to break the optimization into multiple semidefiniteprogramming problems [2], once we know these information below:

- Kraus operators
- key maps,
- POVMs { Γ_k },
- expectation values $\{\gamma_k\}$ error-correction leakage

So far the framework has been **successfully applied to various protocols** such as BB84 and measurement-device-independent QKD [1,3], discretemodulated continuous-variable QKD [4], as well as side-channels such as detector-efficiency mismatch [5] and unbalanced encoding [6]. Finite-size analysis [7] has also been successfully combined with the framework.

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- 2. The **backend solver module:** takes in a set of data and calculates its key rate;
 - The solver follows the two-step numerical approach to bound key rate for a given instance of protocol. Both asymptotic and finite-size solvers are included.
- 3. The main iteration: iterates or optimizes over a range of parameters. It views the solver module as a black box.
 - The optimization of parameter is decoupled from the protocol/solver. Any number and any combination of parameters can be specified as optimizable (or iterable).
 - User can choose between various optimization algorithms, including e.g. efficient local-search algorithms.

Our platform is also structured such that there are multiple abstraction levels exposed to users with different purposes:

- A **casual user** can pick up one of the *presets* to easily perform simulations or optimize parameters for existing protocols.
- A **theorist** can choose to test key rates of new types of protocols or channels by supplying new *description files*. An experimentalist can also replace the channel model with real data to calculate key rate.
- An expert user can opt to replace existing solver modules with one of their own, so long as it follows the interface of accepting one set of protocol/channel data and returning a key rate.

1. Presets
2. Protocols/Channels
Main Iteration & Parameter Optimization
3. Solver Module

Current Package Contents

Protocols:

- BB84 (supports decoy states) [3]

Solvers:

- Asymptotic solver module [2]
- Finite-size solver module (for all non-decoy protocols) [7]
- Gauss-Newton solver (* will be part of future release) [8]

Parameter optimization algorithm (e.g. local search) available for any protocol

Vision

With the **open-sourcing** of the platform, we hope that contributors can bring in more protocols for testing, as well as newer solvers with better efficacy or accuracy, such as the ongoing collaboration [8], which will be part of the package in the future.

We also hope that the platform will interest **experimentalists** using existing protocol descriptions in the package for analysis of experimental data or optimization of experimental parameters.

References

Project website: opengkdsecurity.org

[1] PJ Coles, EM Metodiev, and N Lütkenhaus. Nature Communications 7 (2016): 1-9. [2] A Winick, N Lütkenhaus, and PJ Coles. Quantum 2 (2018): 77. [3] W Wang, N Lütkenhaus, preprint in preparation. Also see poster #229. [4] J Lin, T Upadhyaya, and N Lütkenhaus. Physical Review X 9 (2019): 041064. [5] Y Zhang, PJ Coles, A Winick, J Lin, N Lutkenhaus, Physical Review Research 3

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- MDI-QKD (supports decoy states) [3]
- Discrete-Modulated CV-QKD [4]

[6] NKH Li, and N Lütkenhaus. Physical Review Research 2 (2020): 043172. [7] I George, J Lin, N Lütkenhaus. Physical Review Research 3 (2021): 013274. [8] H Hu, J Im, J Lin, N Lütkenhaus, H Wolkowicz, arXiv:2104.03847 (2021).